

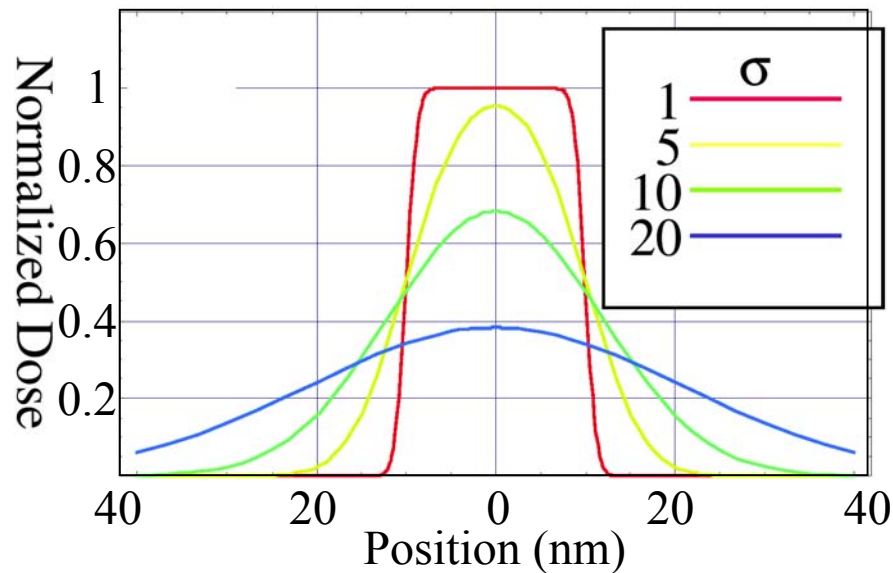


# Resist Materials II

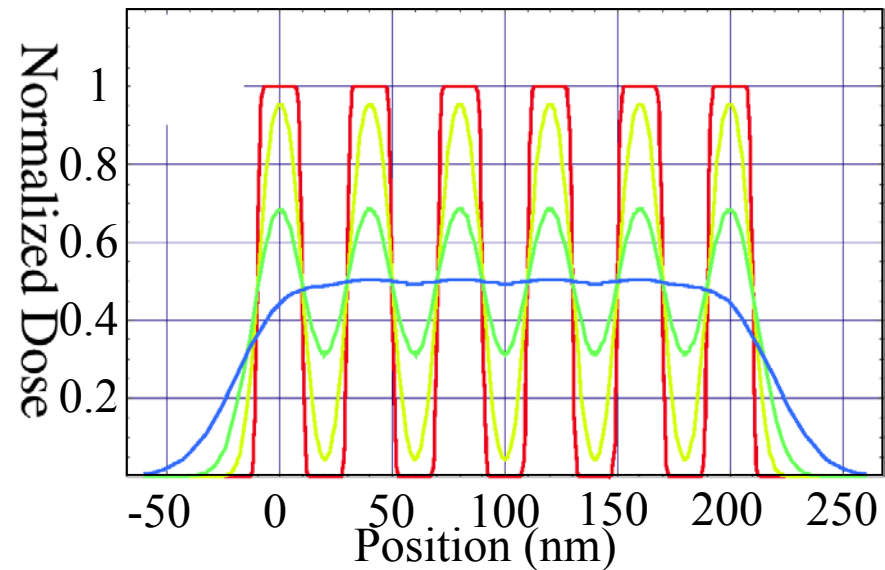


- Resolution
  - Aerial Image
    - Dose latitude
  - Statistical considerations
    - Sensitivity Limits
  - Energy Deposition Distribution
    - Beam broadening
    - Energy and chemistry
  - Development
    - Diffusion in CA materials
    - Line edge roughness
  - Limits to resolution



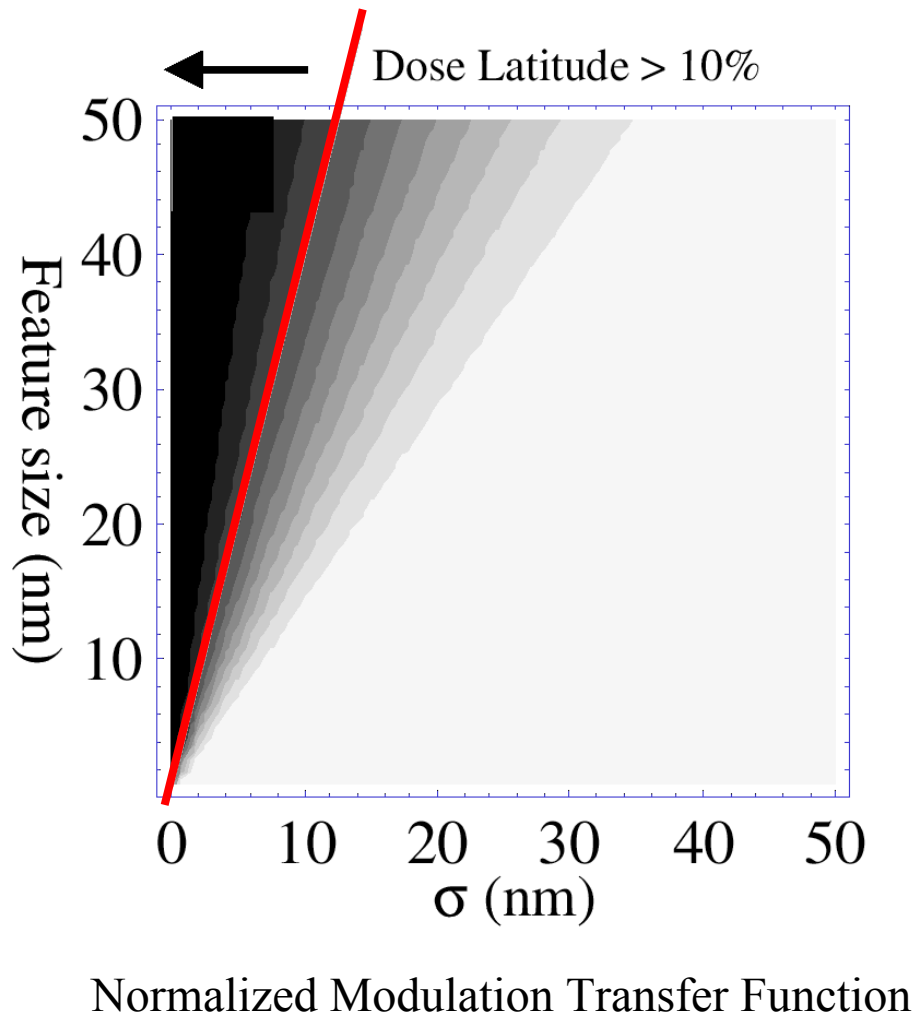


20 nm isolated feature

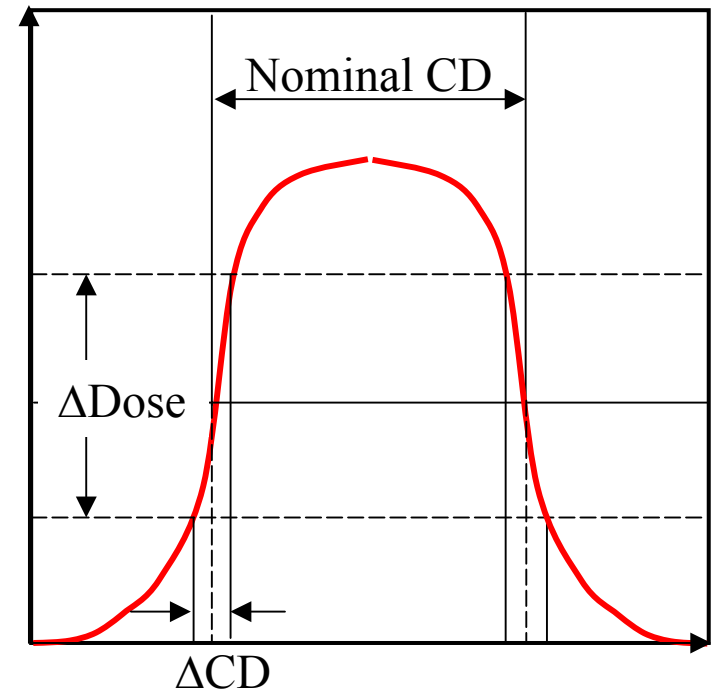


20 nm lines & spaces

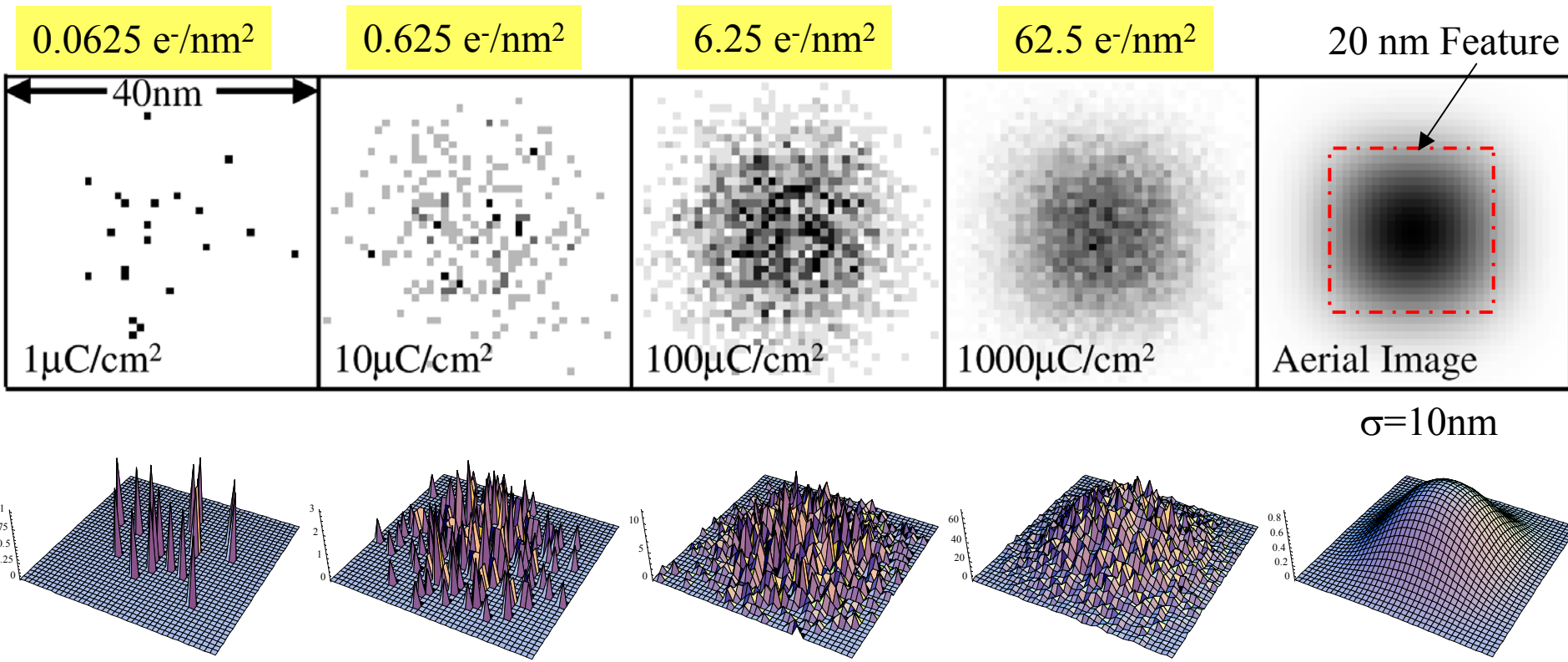
- The **aerial image** represents the intensity distribution that can be formed by a lithography system
  - Refers to energy distribution immediately above the resist surface, before any interaction with resist or substrate
- Aerial image resolution affects printable feature size
  - Modulation falls to  $\approx$  zero when  $\sigma > \sqrt{2Ln2}$  feature size



- Robust process requires dose latitude ( $\Delta\text{Dose} \pm 10\% \Delta\text{CD}$ ) > 10%
- Blur ( $\sigma$ ) < 20% CD



- High sensitivity ➤ small numbers of electrons
- Exposure statistics can lead to large variations in feature size



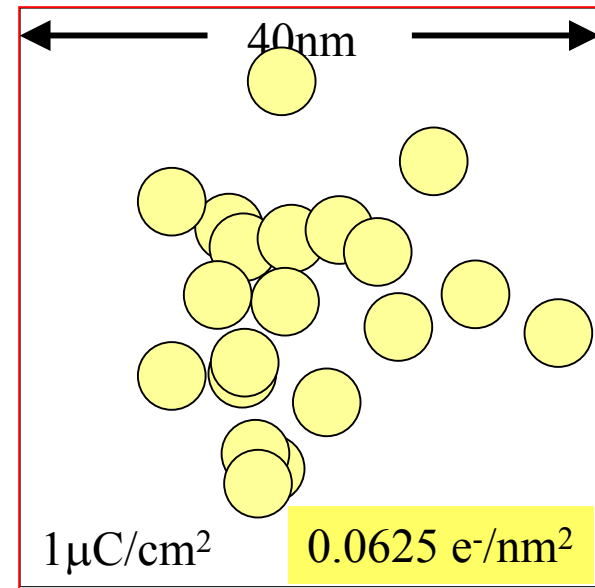




# Resolution - Statistics II



- Simple calculation: each electron exposes cylinder of material
  - Resolution = mean separation between electrons,  $\delta$
  - $1 \mu\text{C}/\text{cm}^2 \rightarrow \delta = 4 \text{ nm}$
- Electrons in a bucket: probability feature fails to print  $< 10^{-15}$ 
  - Feature fails to print if dose is  $< 0.5$  dose to print on size
  - Probability is  $< 10^{-15}$  when number of electrons  $> 200$
  - Dose =  $8 \mu\text{C}/\text{cm}^2$  for 20 nm features
- Signal to noise:  $\sqrt{N}/N < X\%$  - what is X?





# Shot-noise - Poisson Statistics



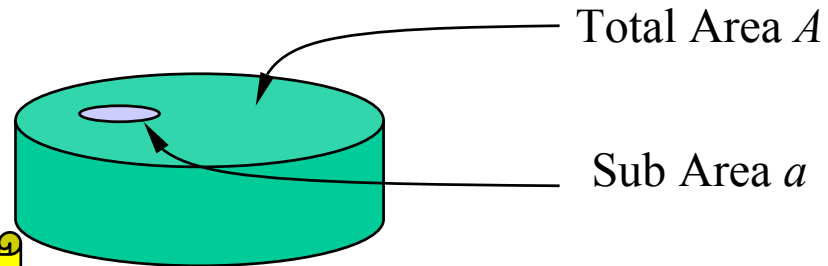
## Example

Let Intensity = defocus tophat  
in projection electron system

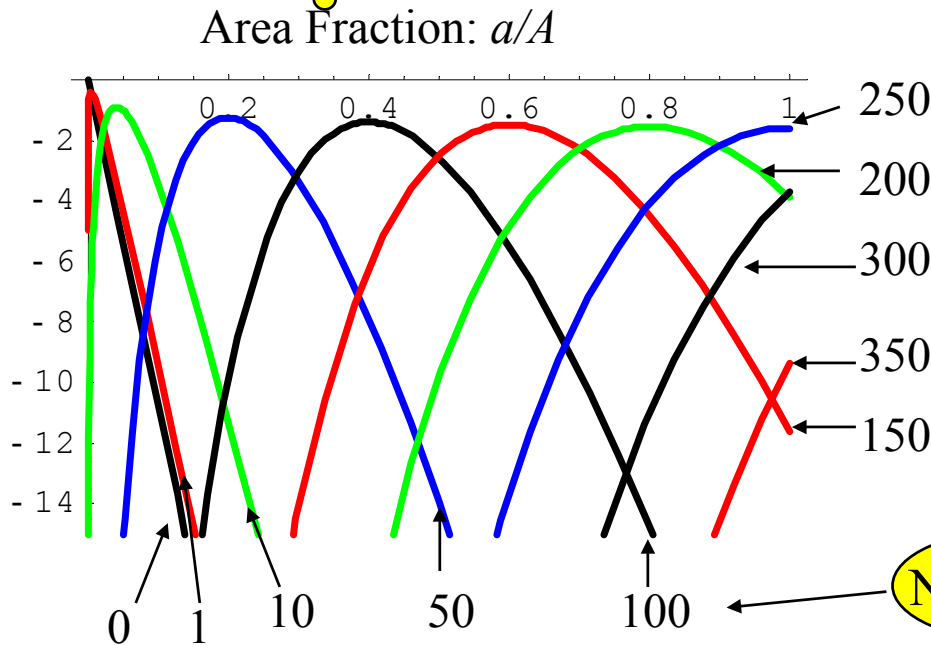
35nm diameter and  $4\mu\text{C}/\text{cm}^2$

$\Rightarrow IAt = 250$  electrons

(Nominal dose to print on  
size = 2 x dose to clear)



Log of the  
Probability  
to get  $n$   
particles in  
the given  
area fraction

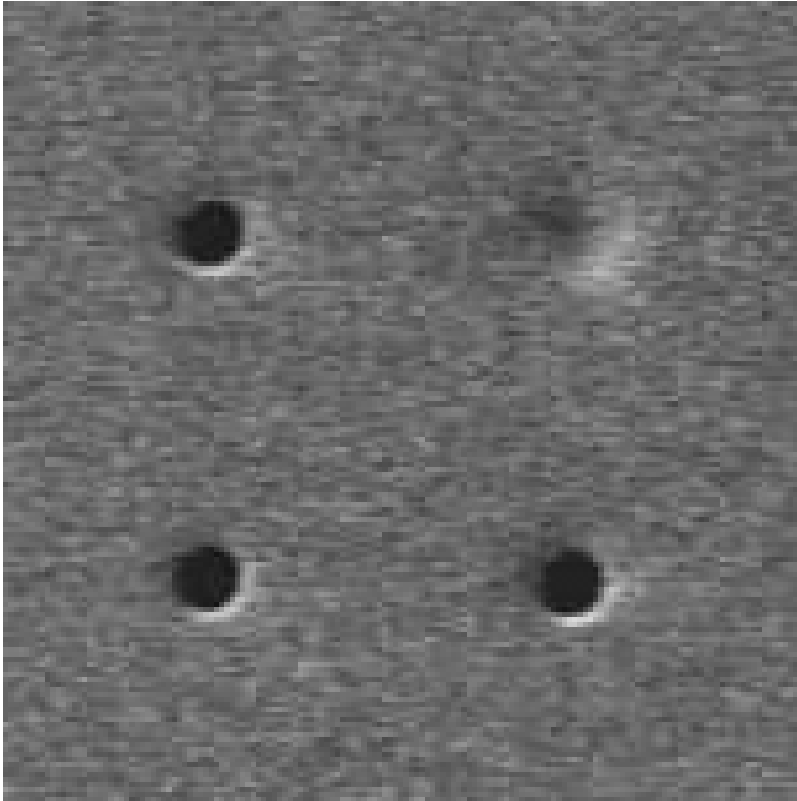


Chance of getting  $\leq$  half  
(Nominal Dose) is  $\ll$   
 $10^{-15}$ , i.e. features will  
always print & dose is  
relatively uniform





# Missing Via



This is what a missing via looks like.

- In this case it mostly likely results from chemical contamination.



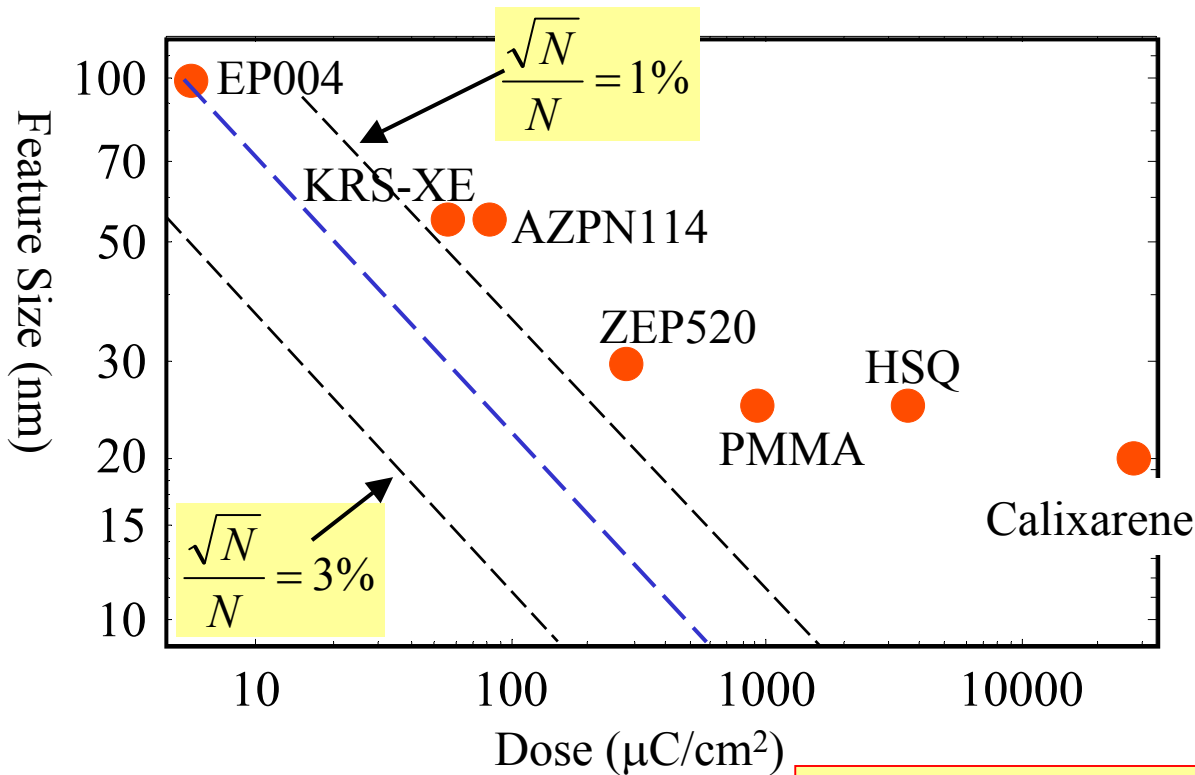


# Observed Resolution vs Dose



$$N = \frac{Dose \cdot L^2}{e} \Rightarrow L = \sqrt{\frac{eN}{Dose}}$$

- Key assumptions:
  - Arrival statistics  
➤ chemistry
  - No other sources of blur
- CA materials PAG = 5%
  - PAG “scavenges” electrons
- Blur from aerial image, electron resist/substrate interactions, chemistry



$$\sigma_{total} = \sqrt{\sigma_{image}^2 + \sigma_{chemistry}^2 + \sigma_{scattering}^2 + \sigma_{statistics}^2}$$

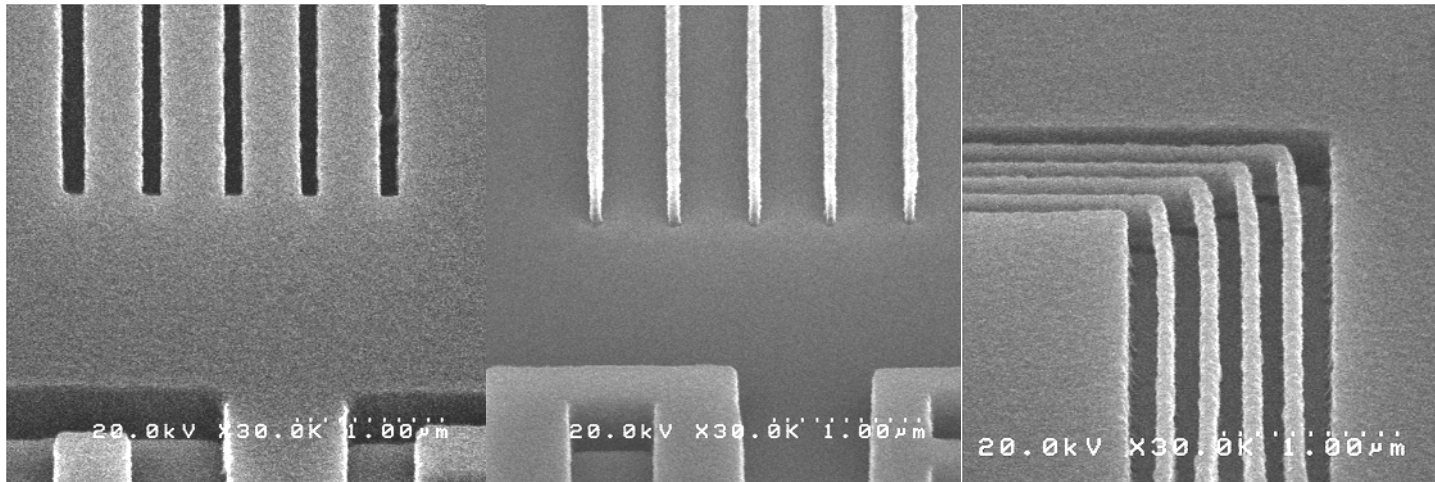




# Advanced Resist Results



100 kV SCALPEL exposures



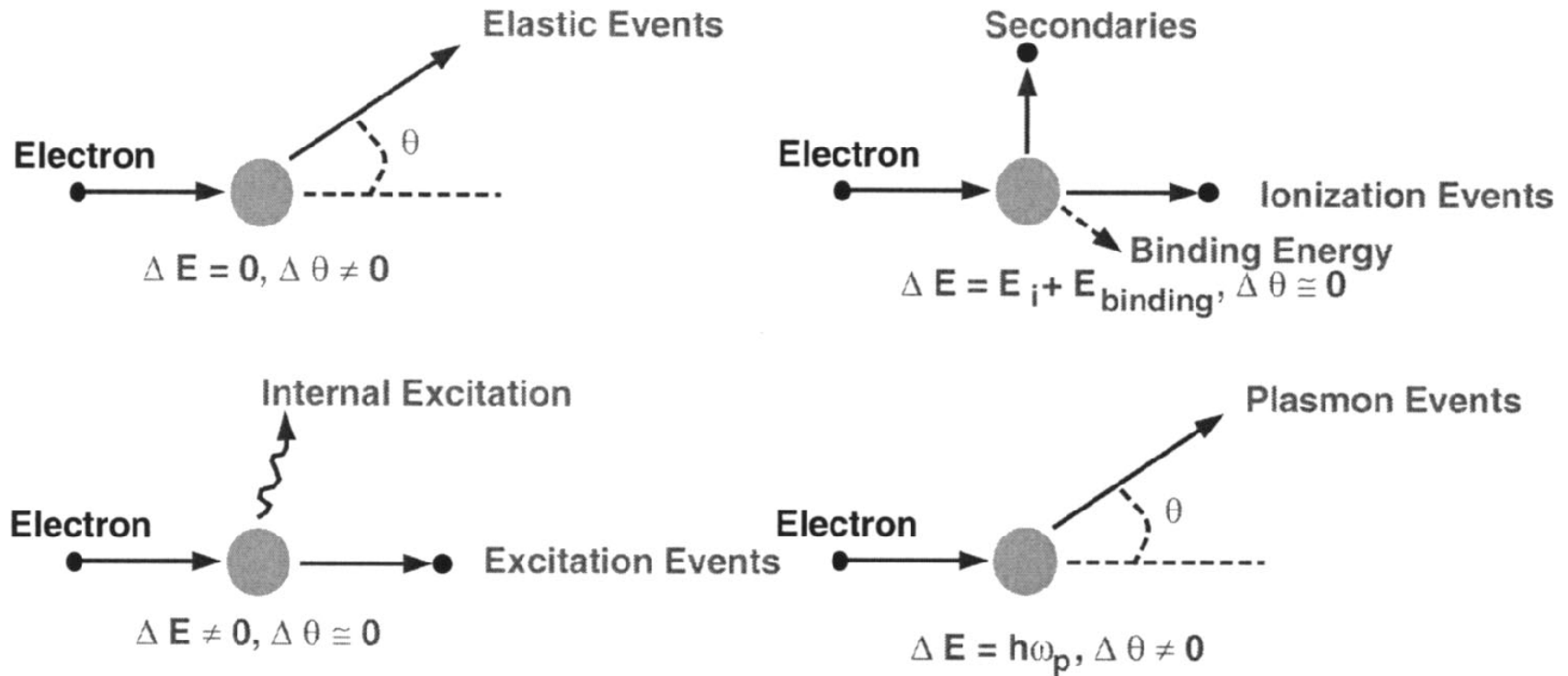
80 nm,  $5.8 \mu\text{C}/\text{cm}^2$

80 nm,  $5.8 \mu\text{C}/\text{cm}^2$

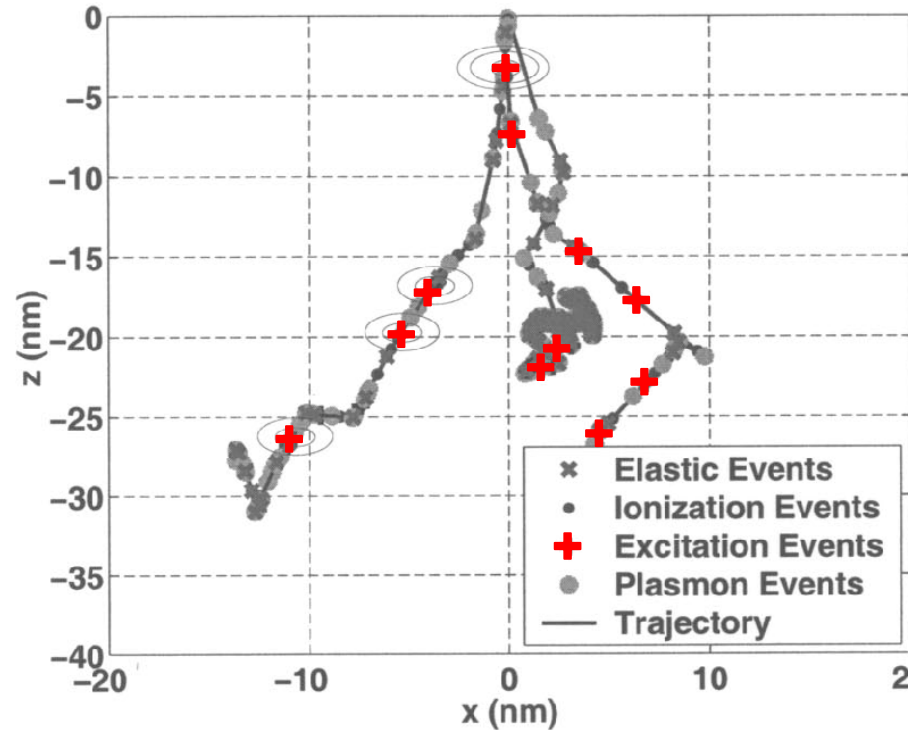
100 nm,  $5.4 \mu\text{C}/\text{cm}^2$

$5.4 \mu\text{C}/\text{cm}^2 @ 100 \text{ nm feature size} = 3375 \text{ electrons}/100 \text{ nm pixel}, \sqrt{N}/N = 1.72\%$

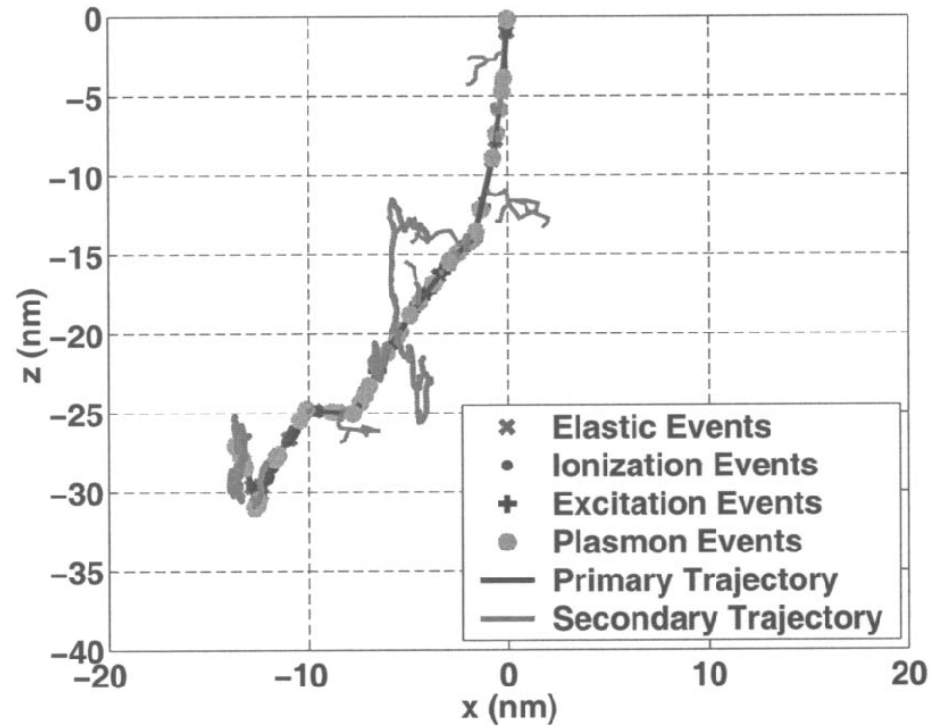




- Of the four different kinds of scattering events only two - excitation & ionization - result in chemical changes



Trajectories of 3 primary 1keV electrons



Trajectories of 1 primary 1keV electrons and associated secondary electrons

- Excitation events are low energy and thus have large cross-sections, i.e. are substantially delocalized
- Secondary electrons also result in a substantial broadening of deposited energy profile

“Comprehensive model of electron energy deposition”, G. Han, M. Khan, Y. Fang, and F. Cerrina, *J. Vac. Sci. Technol.*, **B20** p2666 (2002)







- Energy absorption process produces an ionized molecule, typically from the base resin
  - $RH \xrightarrow{h\nu} RH^\bullet + e^-$ ,  $RH^\bullet + e^- \longrightarrow RH^*$
  - In a non-polar material, electrons can recombine with counter-cation
- Electrons that escape recombination eventually thermalize
- Polar acid generator molecules effectively “scavenge” electrons
  - Ionization probability for acid generator is, to some extent, decoupled from fraction of PAG molecules
  - Acid formation occurs some distance (several nm) from site of energy absorption

“Study on Radiation-Induced Reaction in Microscopic Region for Basic Understanding of Electron Beam Patterning in Lithographic Process I - Development of Subpicosecond Pulse Radiolysis and Relation Between Space Resolution and Radiation Induced Reaction of Onium Salt”, T. Kozawa, A. Saeki, Y. Yoshida and S. Tagawa, *Jap. J. Appl. Phys.*, **41** p4208 (2002)

“Study on Radiation-Induced Reaction in Microscopic Region for Basic Understanding of Electron Beam Patterning in Lithographic Process II - Relation Between Resist Space Resolution and Space Distribution of Ionic Species”, A. Saeki, T. Kozawa, Y. Yoshida and S. Tagawa, *Jap. J. Appl. Phys.*, **41** p4213 (2002)

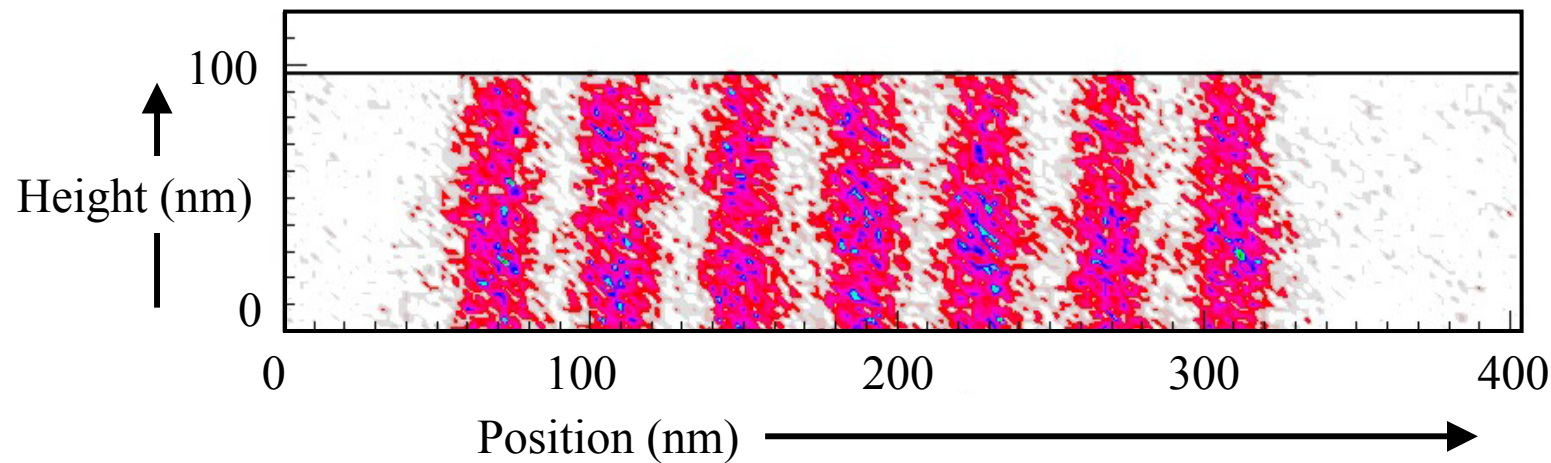




# Energy Deposition Distribution



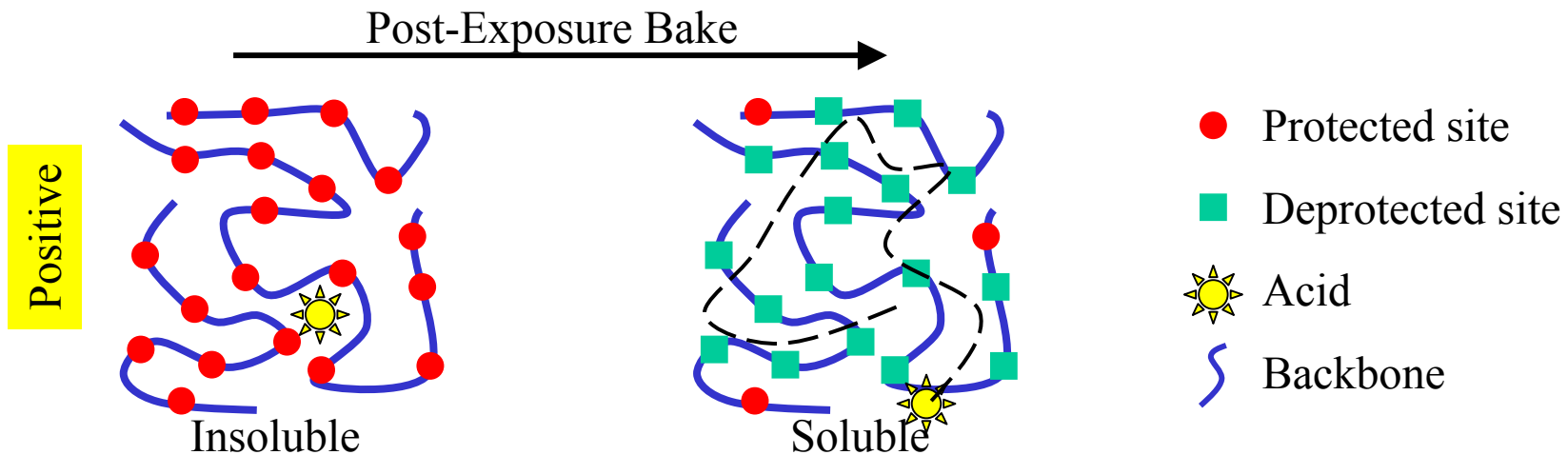
- At high voltages (100 kV) and in thin (100 nm) resists, forward scattering is 5 – 10 nm (90% energy contour diameter) at the base of the film
- Exposure is accomplished by secondary electrons which peak in number at 10 eV
  - Mean free paths of a few nanometers
- Even with very finely focused beams resolution is limited by the nature of the electron solid interactions



Monte-Carlo simulation of the energy deposition distribution for 20 nm lines & spaces.  $\delta$ -function incident beam assumed. 20  $\mu\text{C}/\text{cm}^2$  dose.



- Acid diffusion in CA materials is highly complex:
  - Motion of  $H^+$  affected by anion and by polar functionalities of resist
    - Diffusion coefficient changes with extent of deprotection reaction
    - Catalytic chain lengths can be  $> 1000$
    - However, diffusion distances can be as small as 5 nm

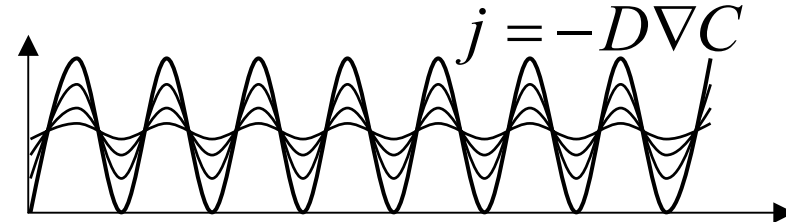




# Chemically Amplified Resists - Diffusion II

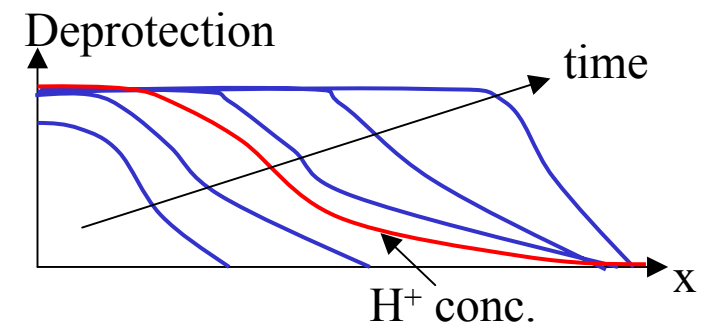


- Acid concentration profile formed upon exposure - diffusion will homogenize distribution
- Reaction-diffusion model accounts for changing diffusion coefficients as deprotection reaction proceeds and material changes from non-polar to polar, for changing deprotection rates as concentration of protecting groups changes and for reduction of acid concentration through various loss mechanisms
  - Diffusion coefficients for TBI-PFBS at 105 °C in PTBOCST: **15 nm<sup>2</sup>/s** (protected), **0.1 nm<sup>2</sup>/s** (deprotected). Smaller PAG anions allow faster diffusion.
  - Deprotection reaction proceeds (slowly) even at low acid concentrations  $\Rightarrow$  blurring
  - Base added to films to reduce acid levels in nominally unexposed areas



$$\frac{\partial C}{\partial t} = -\nabla(D\nabla C)$$

Concentration dependent diffusion



“Chemical and Physical Aspects of the Post-Exposure Baking Process Used for Positive-Tone Chemically Amplified Resists”, W.D. Hinsberg, F.A. Houle, M.I. Sanchez and G.M. Wallraff, *IBM J. Res. & Dev.*, **45** p667 (2001)

“Method of Measuring the Spatial Resolution of a Photoresist”, J.A. Hoffnagle, W.D. Hinsberg, M.I. Sanchez and F.A. Houle, *Optics Letters*, **27** p1778 (2002)



# Statistics of Resist Roughness



## Process Flow $\Rightarrow$ Multiple Statistics

- Dose Statistics: Probability distribution for # of electrons/"pixel".
- Acid Release Statistics: Probability of Acid Release given presence of an electron.
- PEB Statistics: Probability of deprotection given Acid Random Walk
- Dissolution Rate Statistics: Concatenate above statistics  
Deprotection/Acid Density,  
Dissolution Rate/Deprotection Density...
- Surface Statistics: Compute Surface Evolution using Rate Statistics

Assume Positive Chemically Amplified Resist  
Straightforward modification for negative resist



# Line Edge Roughness

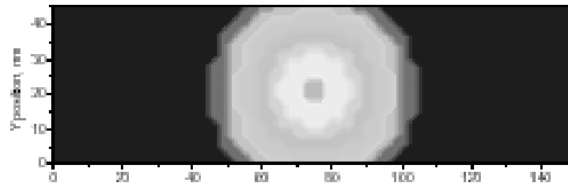


- Roughness depends directly on dissolution rate
  - Exposure  $\otimes$  Latent Image  $\otimes$  PEB statistics washes out effect of shot-noise
- LER increases with decreasing image edge slope
- Minimum value of roughness is related directly to granularity of resist
  - In conventional materials, the size of a molecule
  - In CA materials, the molecular size or the volume deprotected by an acid molecule during PEB (links resolution and sensitivity)

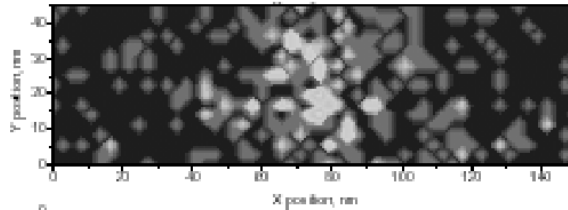


48 nm contact

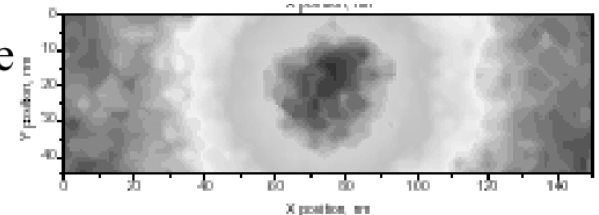
Initial acid  
image



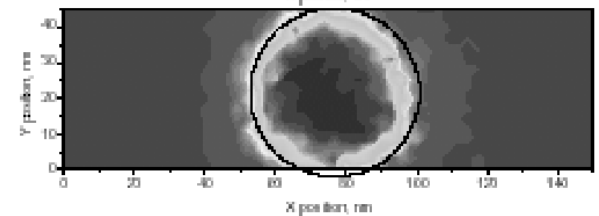
Acid after  
PEB



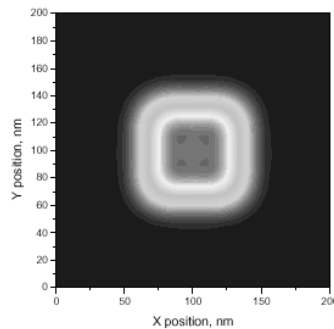
Resist image  
after PEB



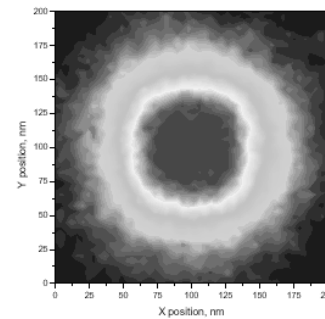
Developed  
contact



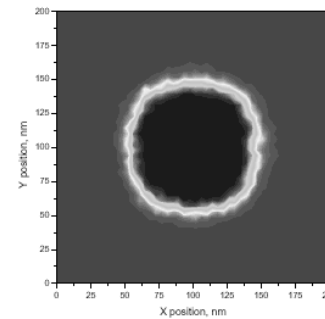
Photons



Deprotected Polymer



Dissolved Polymer

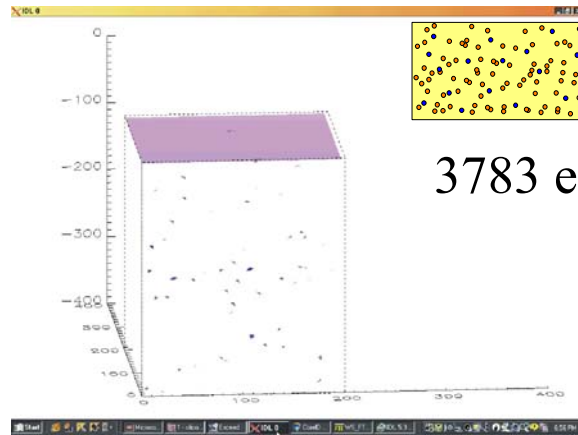


- Influence of different stages of image formation process in a chemically amplified resist on the final, developed feature



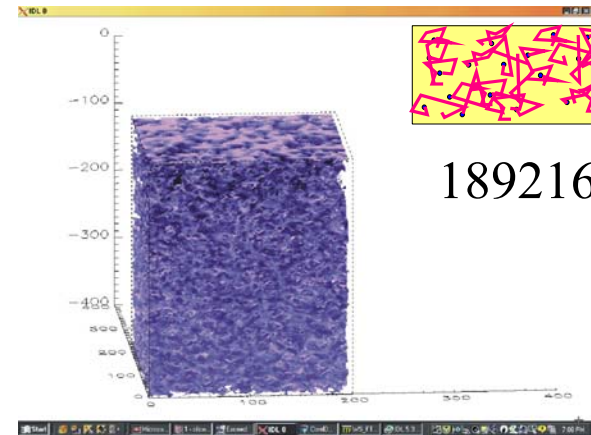


# Step-by-step Image Formation in EP-004 at 3 $\mu\text{C}/\text{cm}^2$



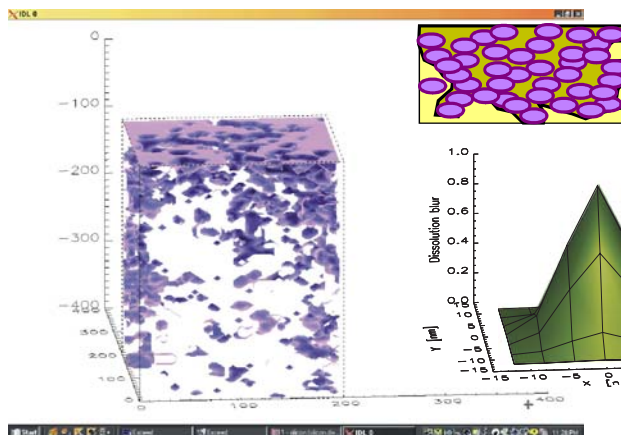
3783 events

- Photoacid events



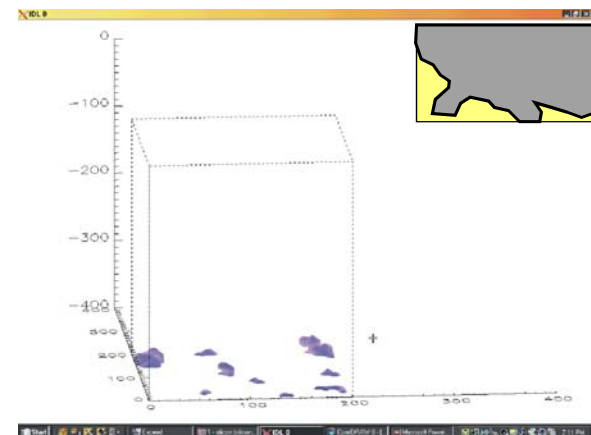
189216 events

- Amplification events



3-D  
convolution  
function

- Solubility change

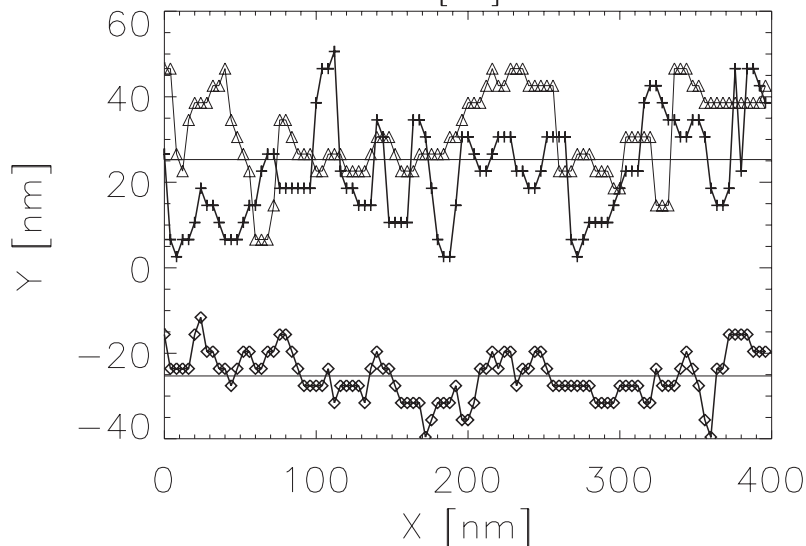
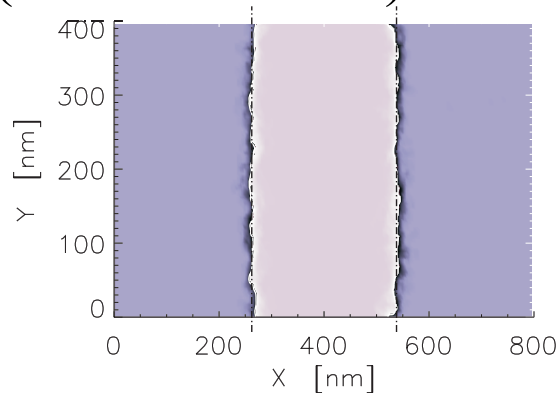


- Development

“Reist Requirements for Electron Projection and Direct Write Nanolithography”, L.E. Ocola, Mat. Res. Soc. Symp. Proc., **705** Y1.1.2 (2002)



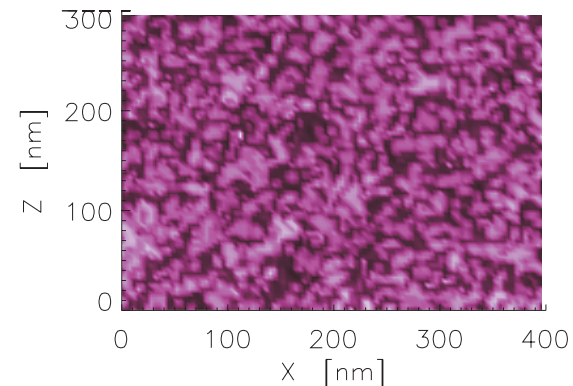
- Simulated SEM image (50% threshold)



AFM Trace

SEM Trace

- Simulated AFM sidewall image



- AFM LER ( $1\sigma$ ):
  - Simulated: 10.7 nm
  - Experiment: NA
- SEM LER ( $1\sigma$ ):
  - Simulated: 5.7 nm
  - Experiment: 3 nm



# Performance Limits



- Resolution and sensitivity are strongly coupled
- Resolution is determined by

$$\sigma_{total} = \sqrt{\sigma_{image}^2 + \sigma_{chemistry}^2 + \sigma_{scattering}^2 + \sigma_{statistics}^2}$$

- Image blur can be reduced to 0.5 nm
- Statistical blur can be reduced by going to high doses
- Chemical blur can be reduced by using non-CA materials or curtailing acid diffusion
- Scattering blur can only be reduced by changing the nature of the electron solid interactions
  - High energy processes are localized to the incident beam
    - Hole drilling in inorganic resists
    - Radiation damage in SiO<sub>2</sub>

“Resist Requirements and Limitations for Nanoscale Electron Beam Patterning”, J.A. Liddle, G.M. Gallatin and L.E. Ocola, *Mat. Res. Soc. Symp. Proc.* (2002)

“Resolution Limits for Electron-Beam Lithography”, A.N. Broers, *IBM J. Res. Develop.*, **32** p502 (1988)





# **Resolution limitations in chemically amplified photoresist systems**



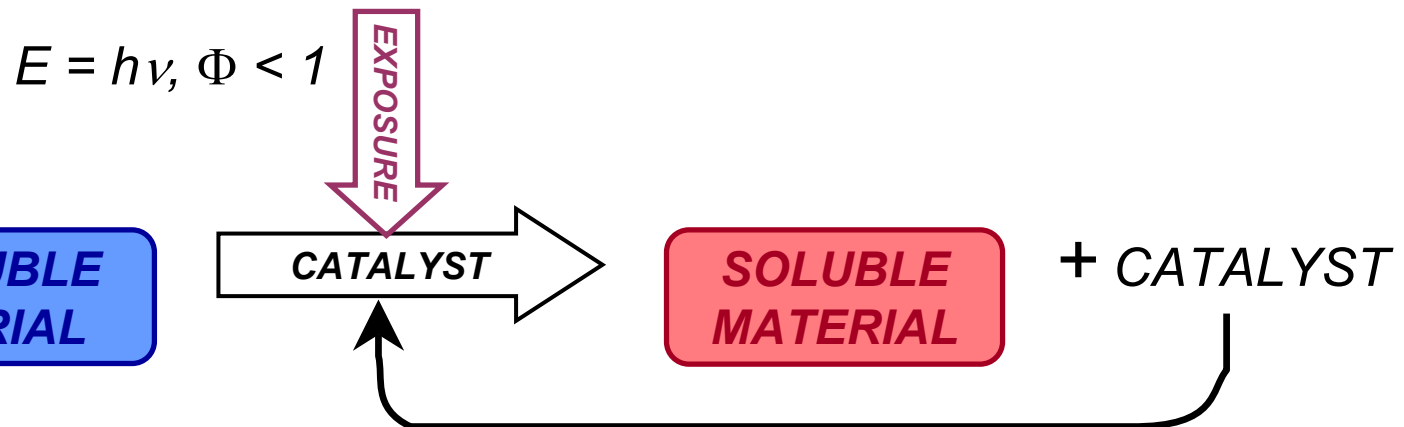


# Chemical amplification

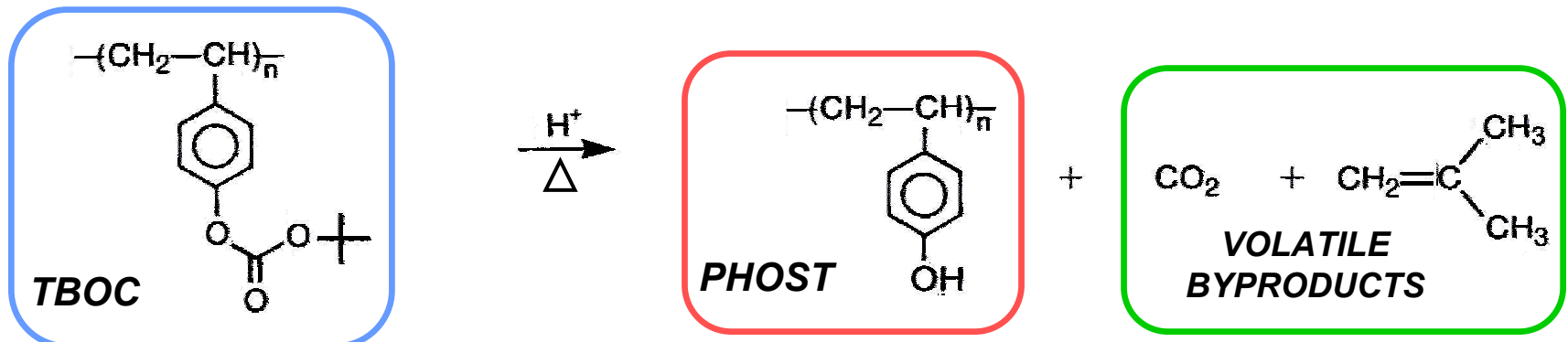


*Hundreds of solubility conversion reactions per absorbed photon.*

General positive-tone function:

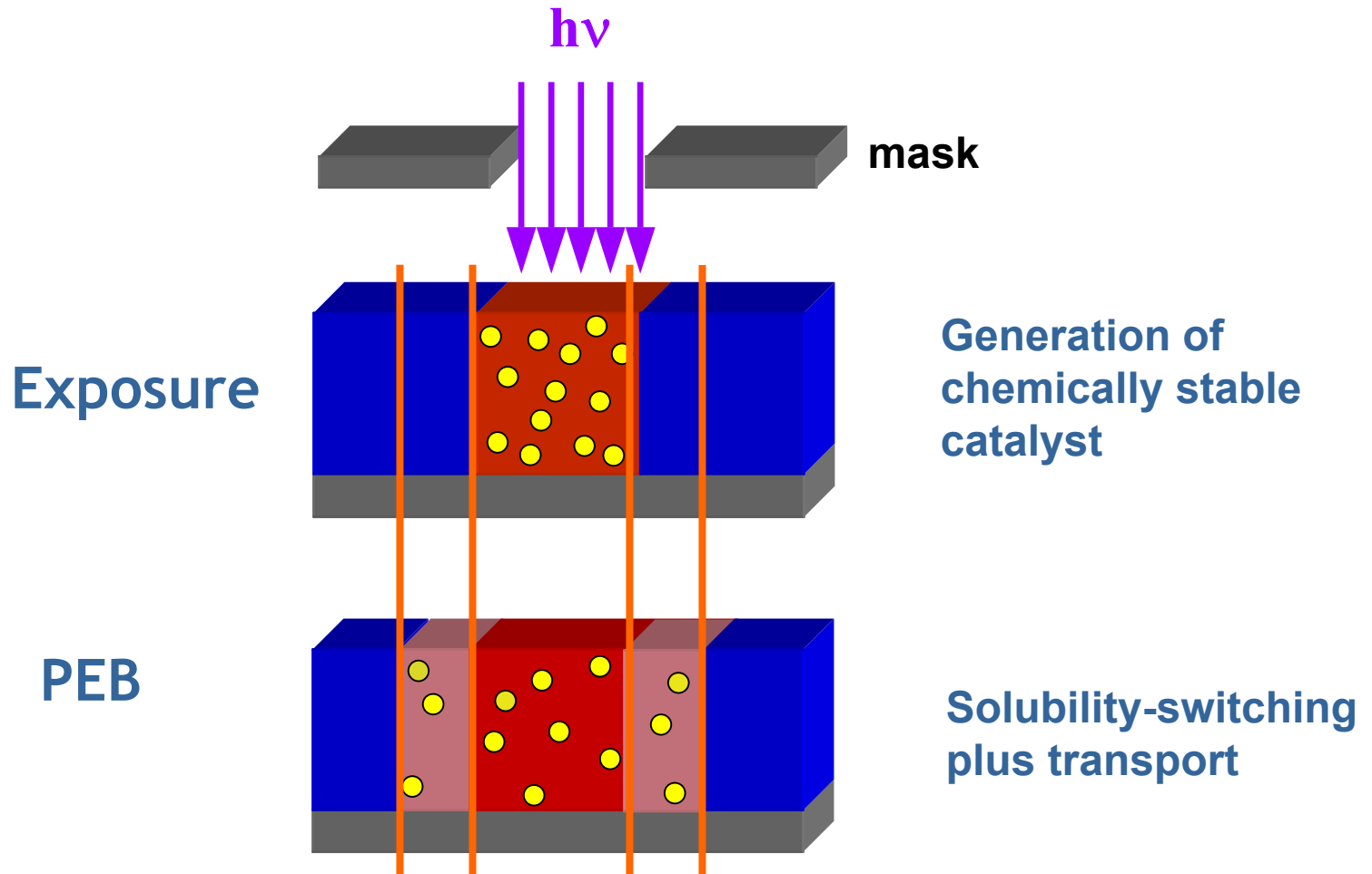


Model system:





# Intrinsic limitation?



## Diffusion Bias

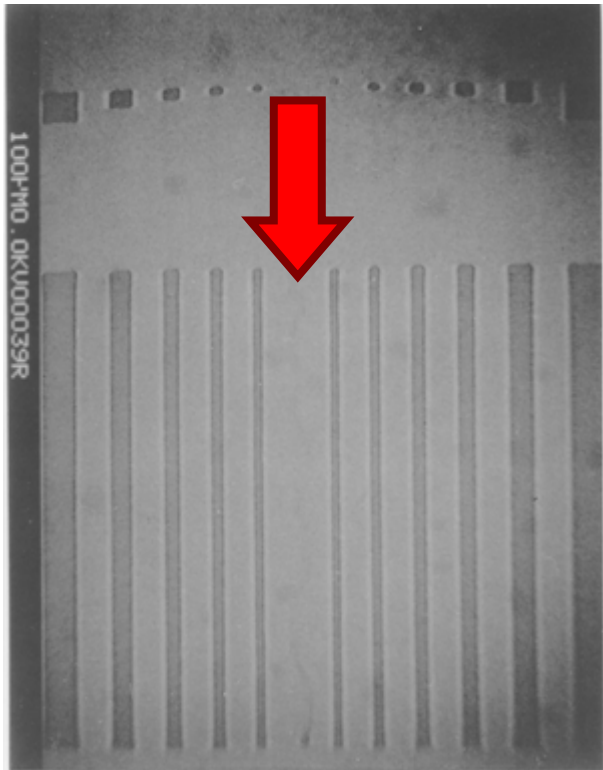




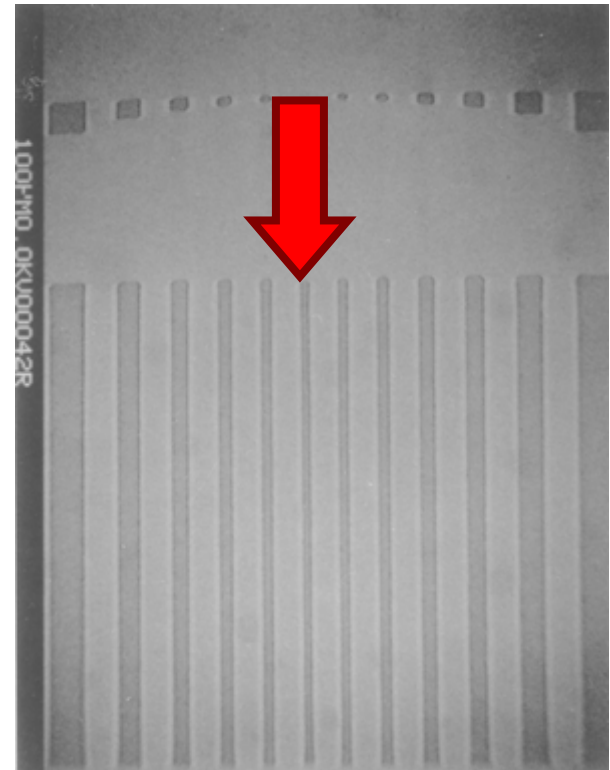
# Previous work: FIB exposure



*STEM exposure of TBOC photoresist on thin silicon nitride membranes.*



Lower dose  
Smallest feature not resolved



Higher dose  
Smallest feature resolved

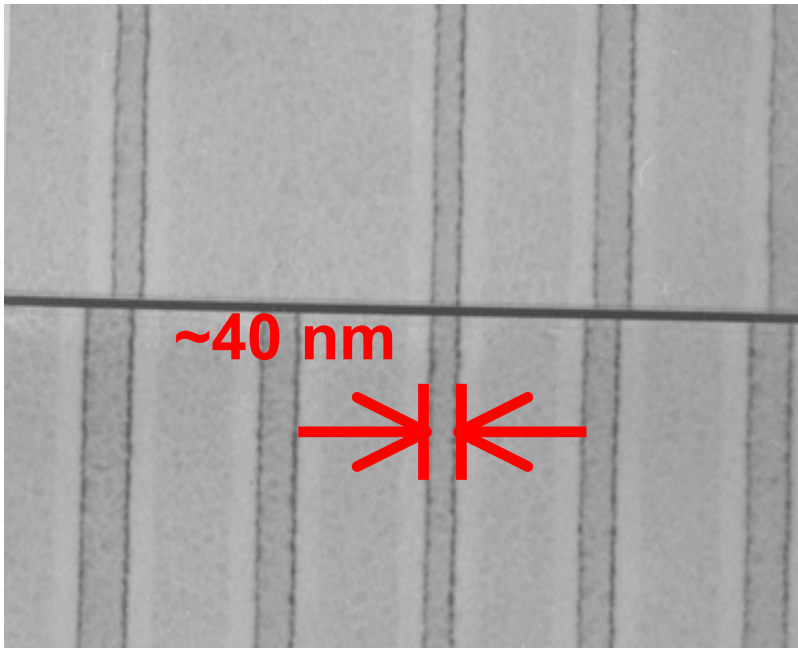


C. P. Umbach, A. N. Broers, C. G. Willson, R. Koch, and R. B. Laibowitz,  
J. Vac. Sci. Technol. B 1988,6, 319-322

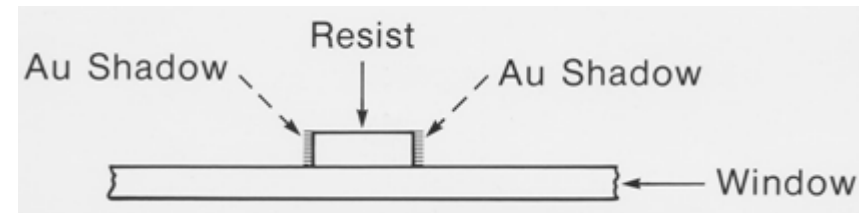




# Previous work: FIB exposure



**TBOC resolution:  
~40 nm in 1988**



**→ Minimum resolved feature size is ~40 nm**

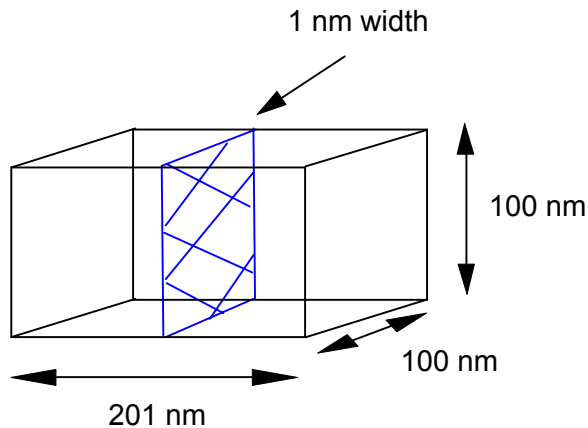




# Line spread function calculation



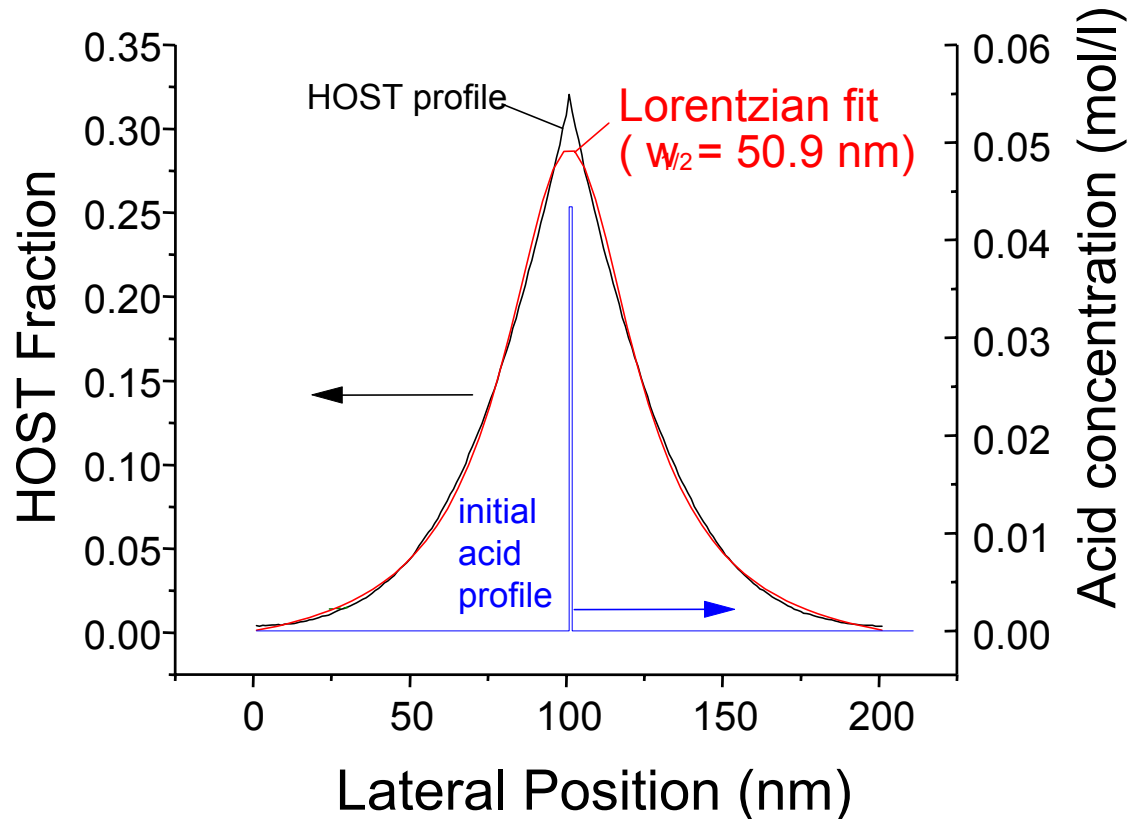
*W. Hinsberg, F. Houle, M. I. Sanchez, J. Hoffnagle, G. Wallraff, D. R. Medeiros, G. Gallatin, and H. Cobb, Proc. SPIE, 2003.*



Calculated using quantitative physically-based, experimentally validated PEB model.

PEB 120 sec, 100 °C

PTBOCST/TBI-PFBS



→ Predicted feature size is  $\leq \sim 50$  nm



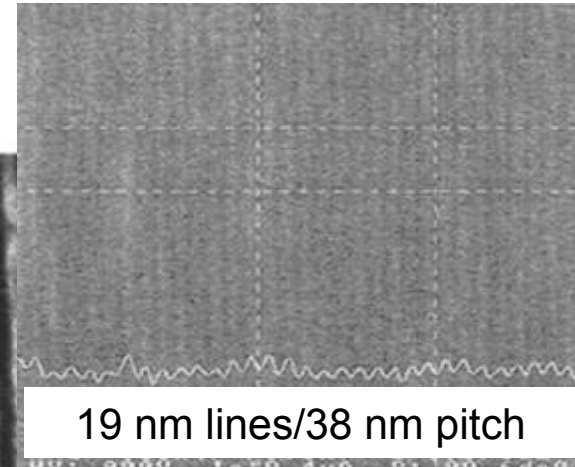


# Interferometric lithography of ESCAP chemically amplified resists



W. Hinsberg, F. Houle, M. I. Sanchez, J. Hoffnagle, G. Wallraff, D. R. Medeiros, G. Gallatin, and H. Cobb, *Proc. SPIE*, **2003**.

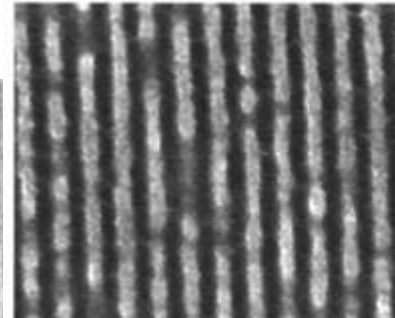
$\lambda=13$  nm



19 nm lines/38 nm pitch

U of W, *SPIE* **3676**, 278 (1999)

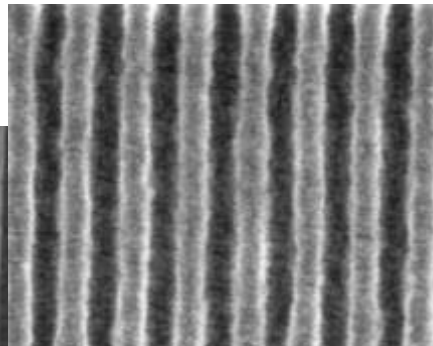
$\lambda=157$  nm immersion



30 nm lines/60 nm pitch

MIT-LL, *JVSTB* **19**, 2353 (2001)

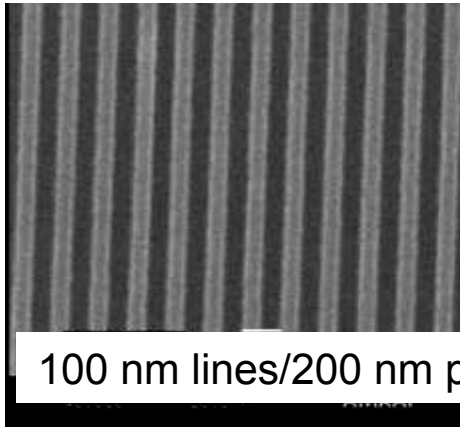
$\lambda=257$  nm immersion



45 nm lines/90 nm pitch

IBM, *JVSTB* **17**, 3306 (1999)

$\lambda=257$  nm



100 nm lines/200 nm pitch

→ **Minimum resolved feature size is ~ 30 - 45 nm**



# Routes to reduced diffusion bias



- **Use lower PEB temperature**  
**Reduces diffusion rate, also reduces reaction rate**
- **Add base to formulation to quench diffusing acid**  
**"Scavenges" diffusing acid, also scavenges other acid**
- **Increase size of diffusing acid species**  
**Reduces diffusion rate, can also limit catalytic efficiency**





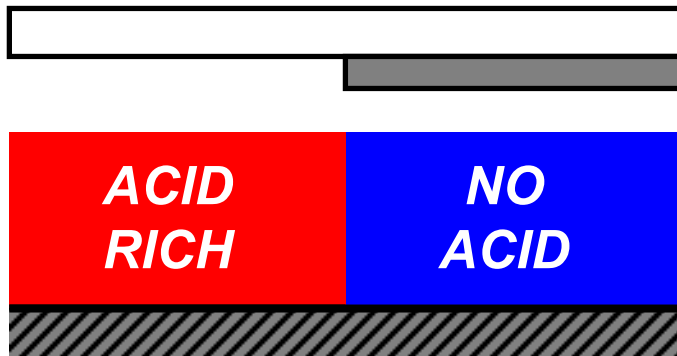
# Diffusion bias measurements



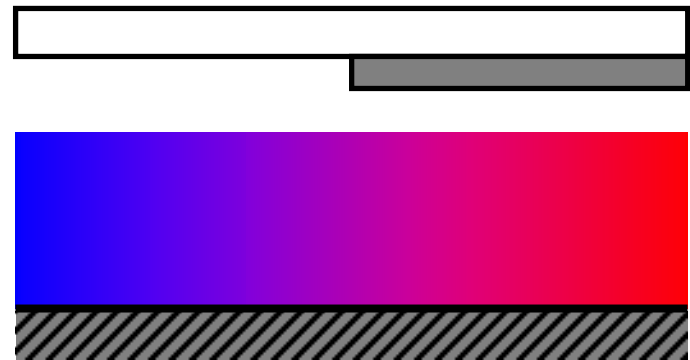
Goal is to measure change in acid distribution due to diffusion

→ *Best to start with a well-defined acid distribution*

## IDEAL ACID DISTRIBUTION



## ACTUAL ACID DISTRIBUTION



**Our approach: Bilayer systems**

## BILAYER ACID DISTRIBUTION



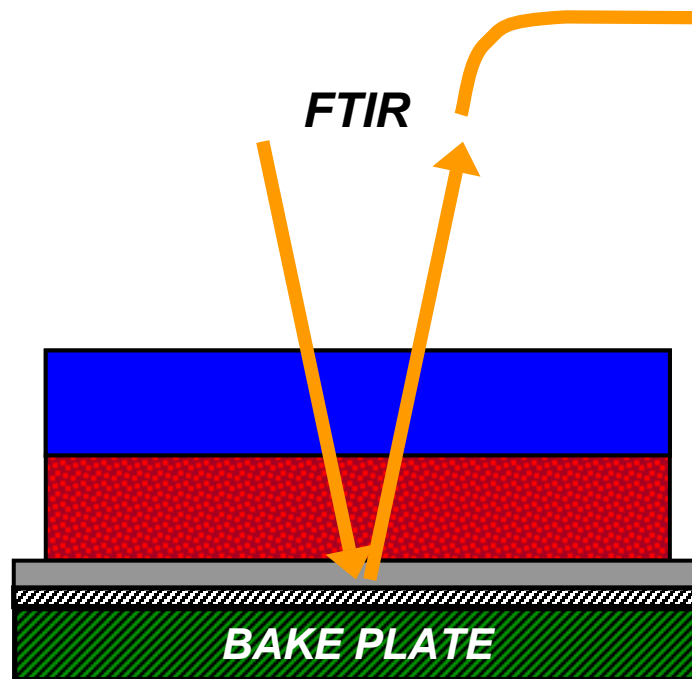


# FTIR measurements

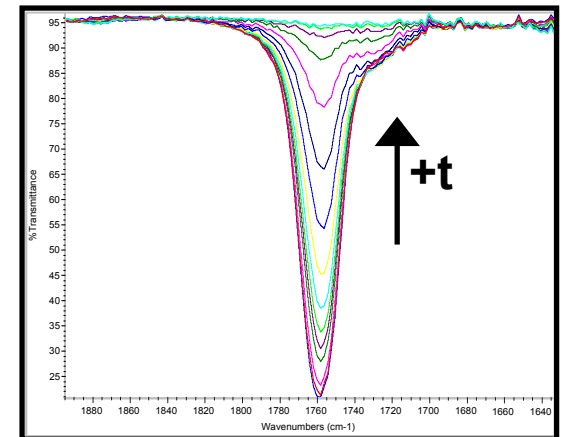


*Measure acid diffusion distance by monitoring the reaction of acid with TBOC polymer.*

Acid Detector Layer  
Acid Source Layer  
Al-backed Si wafer



Normalized FTIR  
Peak at  $1710\text{ cm}^{-1}$

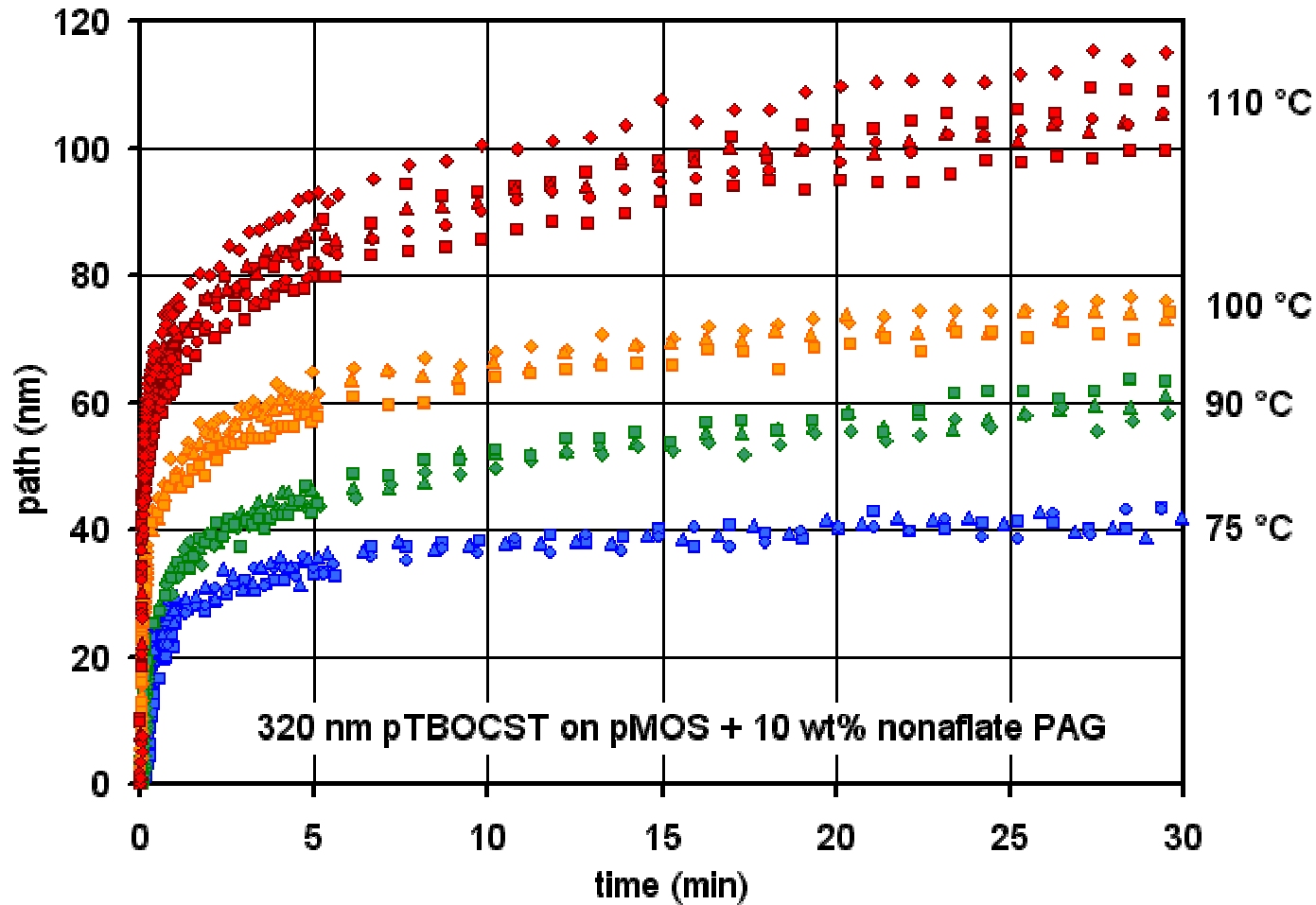




# Effect of PEB temperature



→ *Reduce PEB temperature, reduce bias*



→ *Smallest measured diffusion bias is ~25 nm*

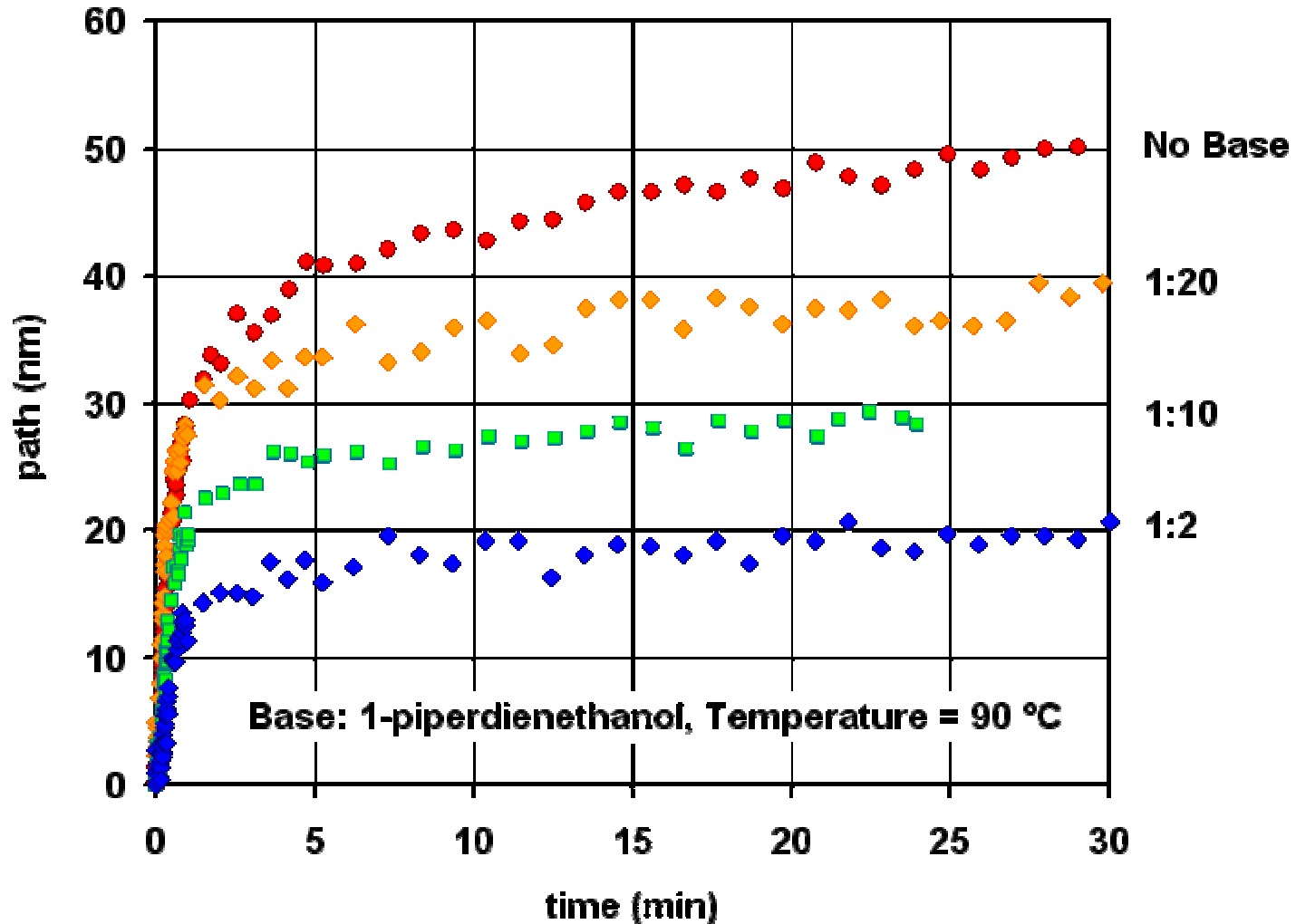




# Effect of added base



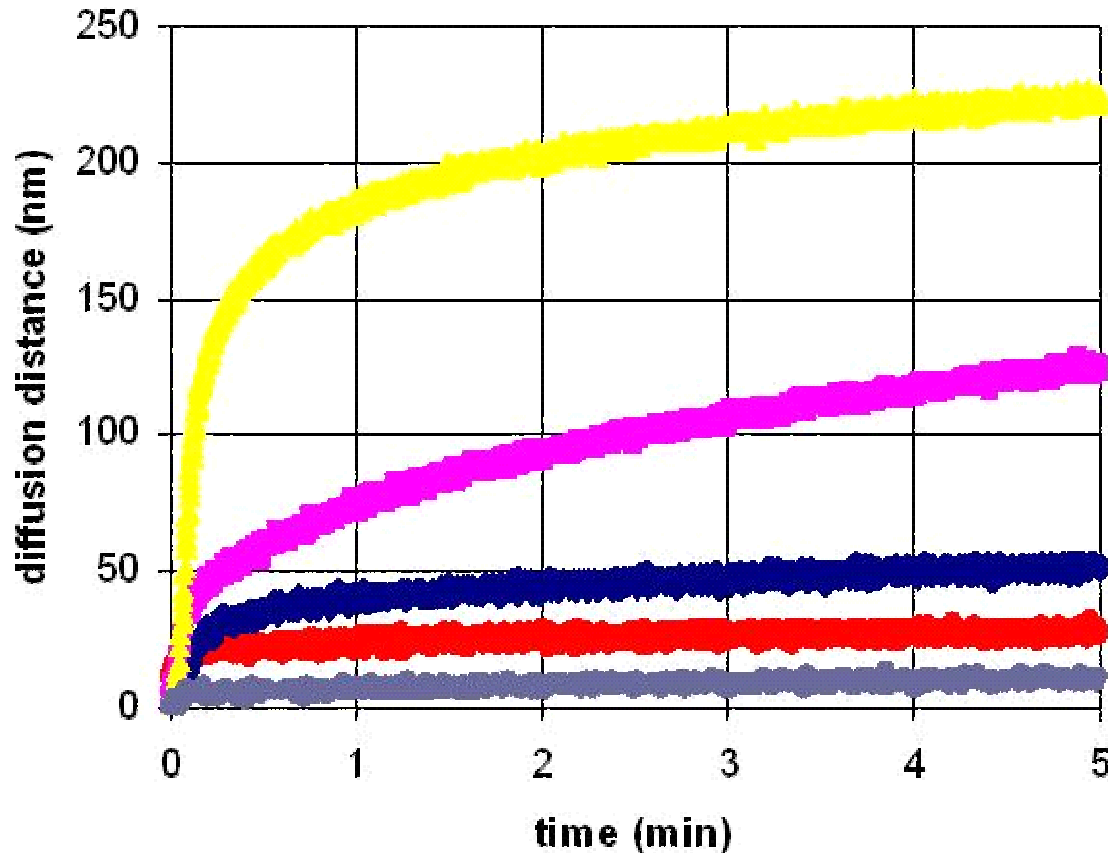
→ *Increase base loading, decrease bias*



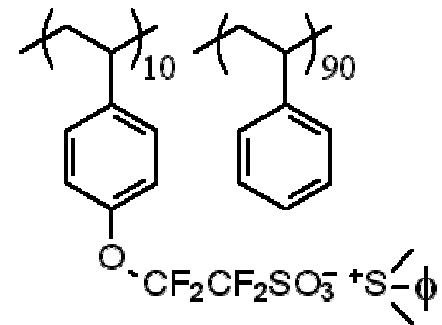
→ *Smallest measured diffusion bias is ~15 nm*



→ *Increase the size of the acid anion, reduce diffusion*



*Polymeric PAG*



→ *Smallest measured diffusion bias is ~15 nm*

→ **Neutron reflectivity is sensitive to deuterium concentration with nanometer resolution.**

1) Spincoated d-tBOC film



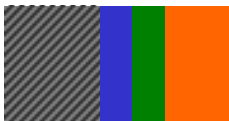
2) Coat PHS+PAG layer



3) UV Exposure



4) Bake film stack

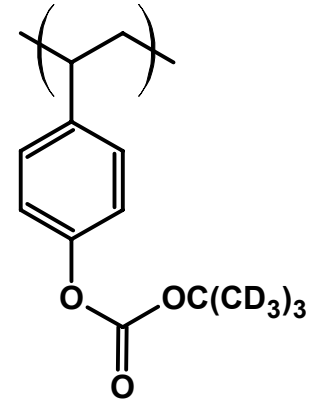


5) Develop

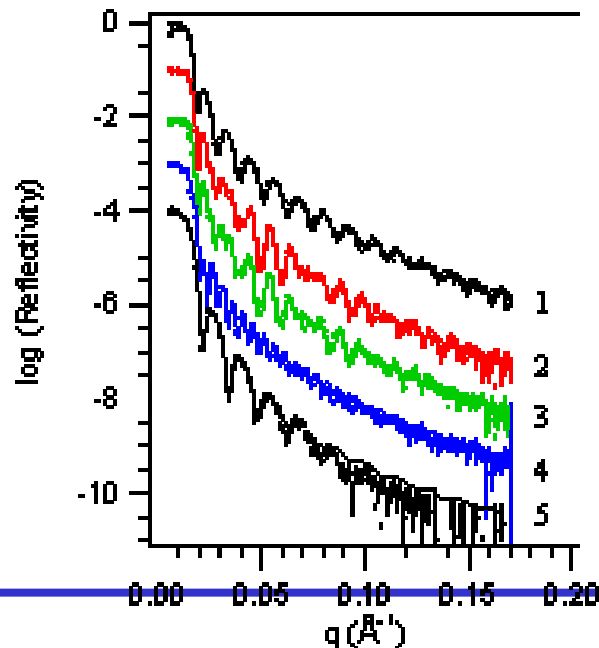


**Structure of deuterated t-BOC:**

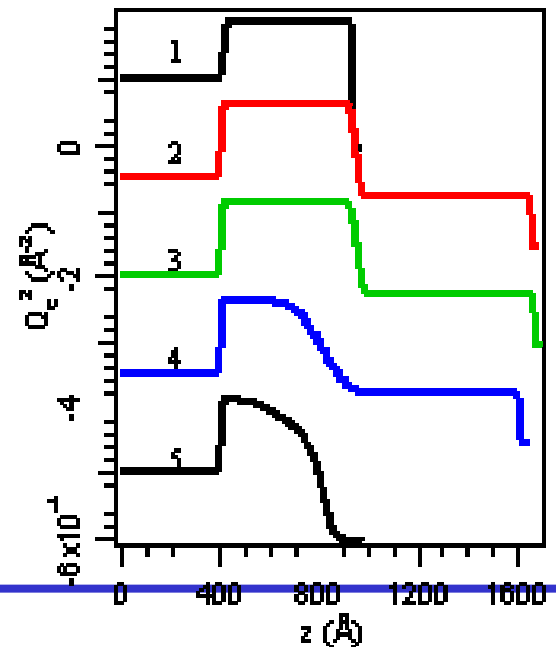
- Deuterium-containing group is removed by the deprotection reaction



Raw data and fit



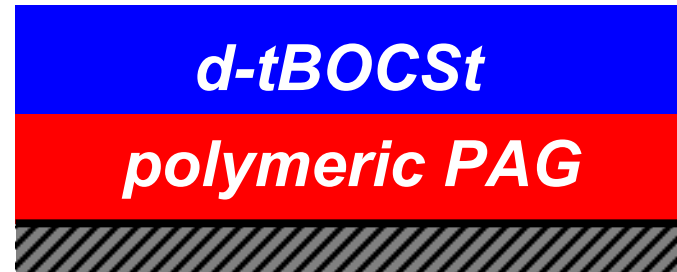
Real space profiles





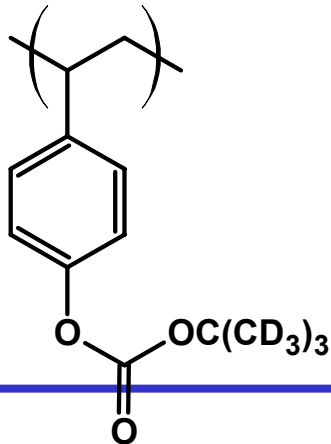
## ***Neutron reflectivity measurements***

*Bilayer film stack with  
neutron contrast:*



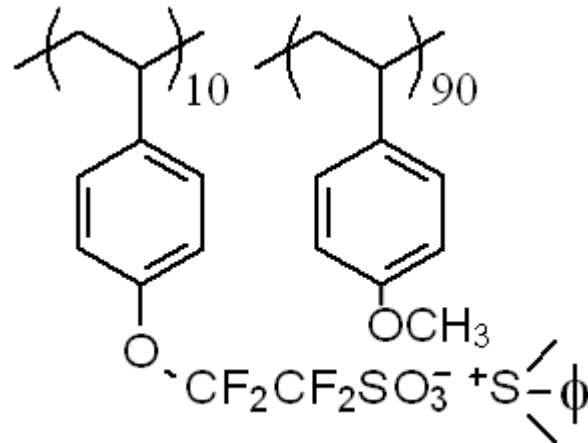
### **Structure of deuterated t-BOCSt:**

- Deuterium-containing group is removed by the deprotection reaction



### **Structure of polymeric PAG:**

- Produces a bound acid molecule

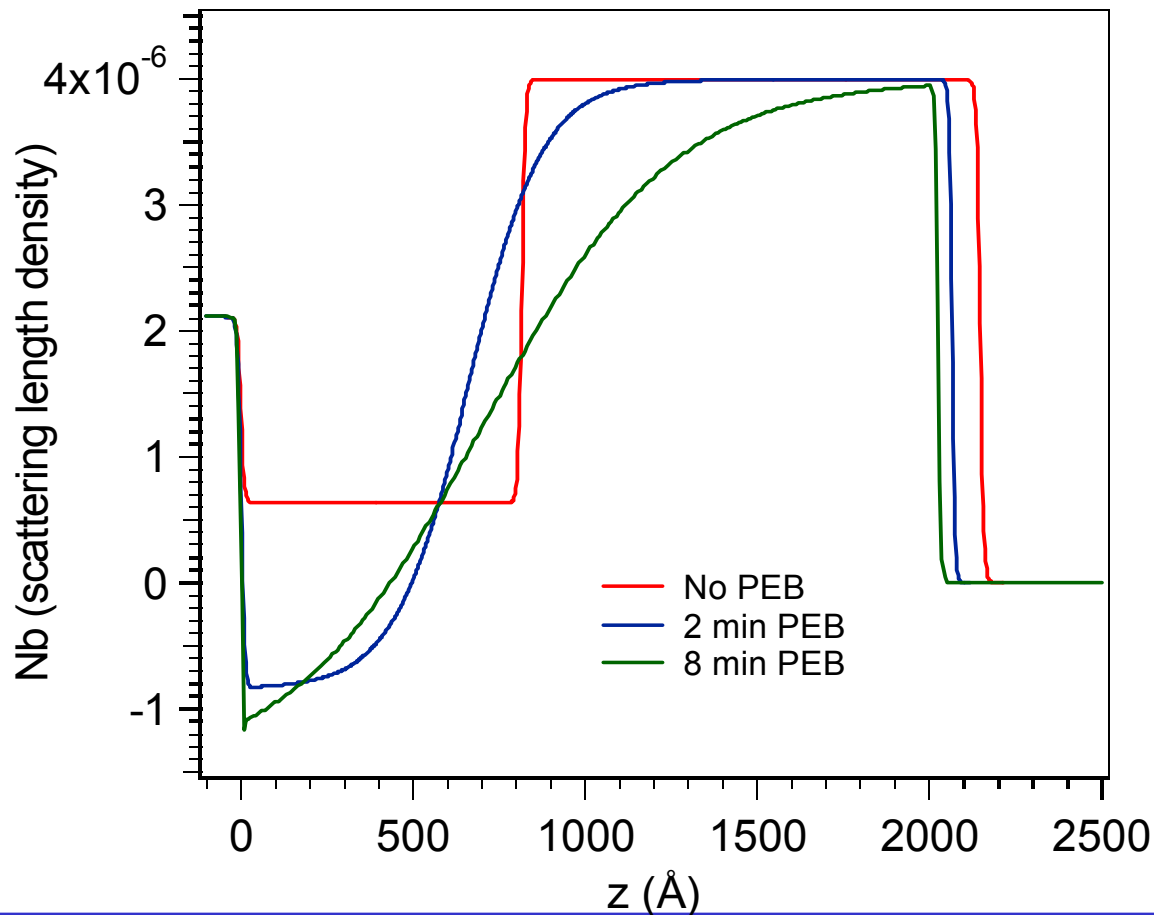




# Neutron reflectivity measurements



***After a 2 min bake at 90 °C, interfacial width is ~ 19 nm between polymeric PAG layer and TBOC layer.***



**→ Smallest measured diffusion bias is ~ 19 nm**



# Conclusions



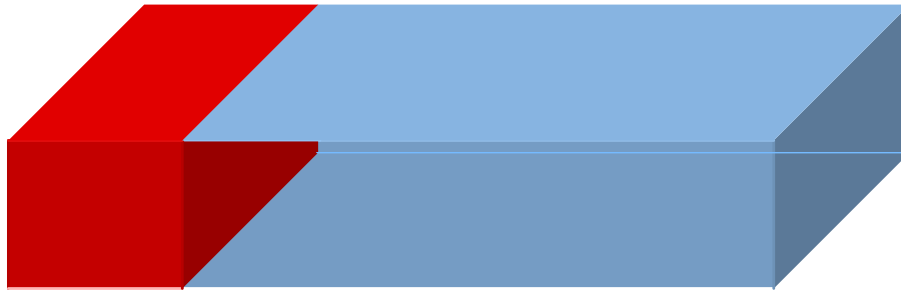
***There is an apparent resolution limit to chemically amplified photoresist systems in the range of ~30-40 nm (minimum diffusion bias of ~15-20 nm).***

***It appears that the mechanisms responsible for high sensitivity in these resists are intrinsically tied to the processes that result in diffusion-induced bias.***

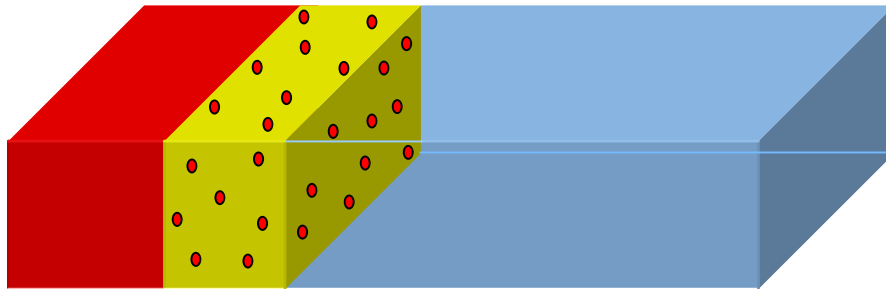




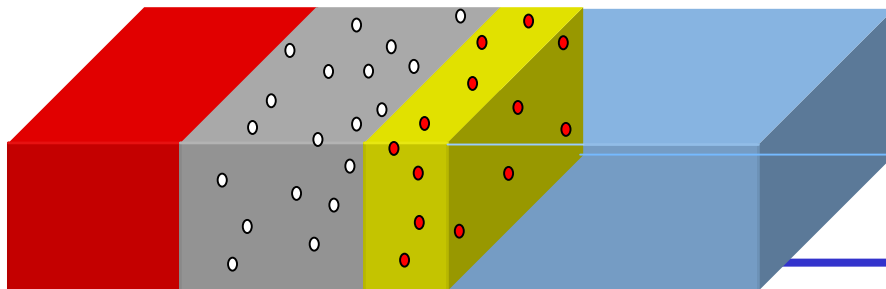
# Image blur: Reaction Front









**Initial Condition**



**Reaction Front Formation**



**Gradual Depletion of Front**

-  High acid concentration region
-  Reaction zone
-  Reacted region (Bias region)
-  Unreacted region
-  - Active (mobile) acid molecules
-  - Inactive (immobile) acid molecules

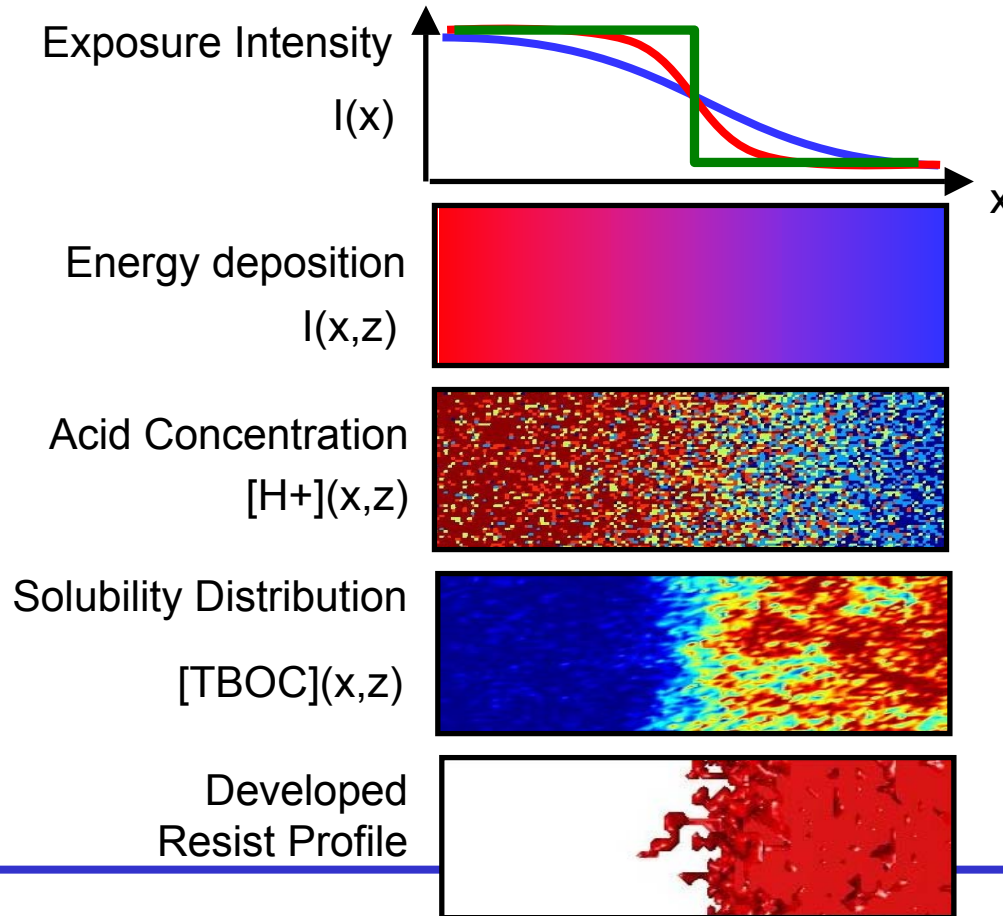




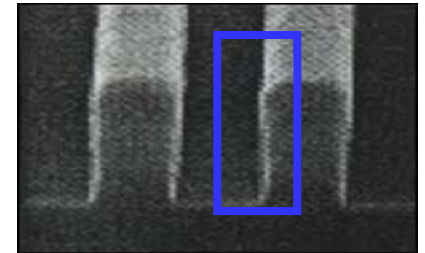
# Origins of Resist Feature Roughness



***Mesoscale simulations can be used to study the formulation variables and processing conditions that contribute to photoresist roughness.***



**Simulation Region**

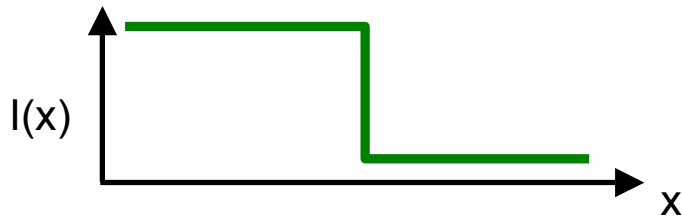




# Origins of Resist Feature Roughness

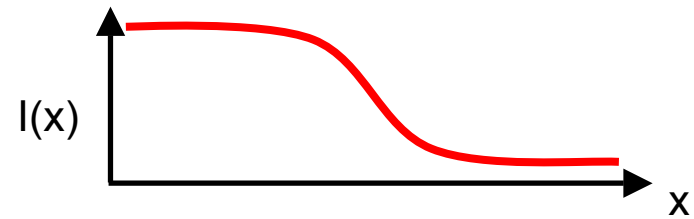
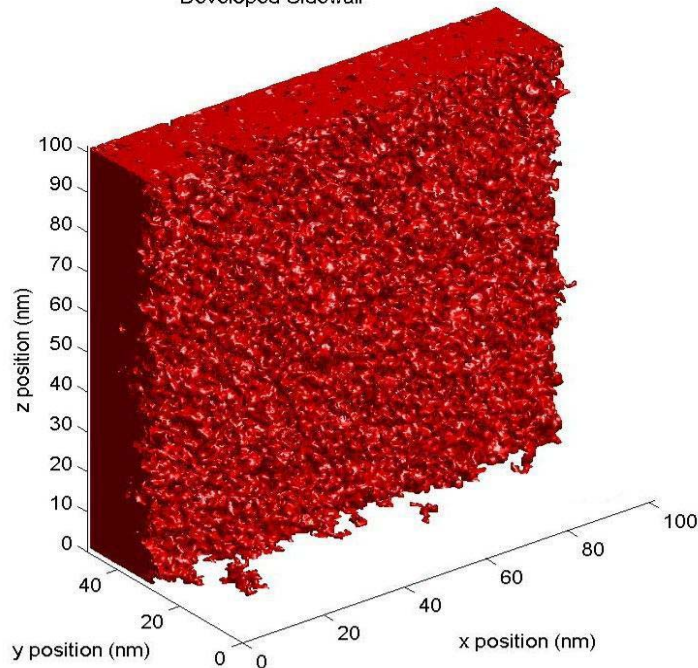


For poor exposure contrast, spatial irregularities exist over a larger portion of the line edge, resulting in greater feature roughness.



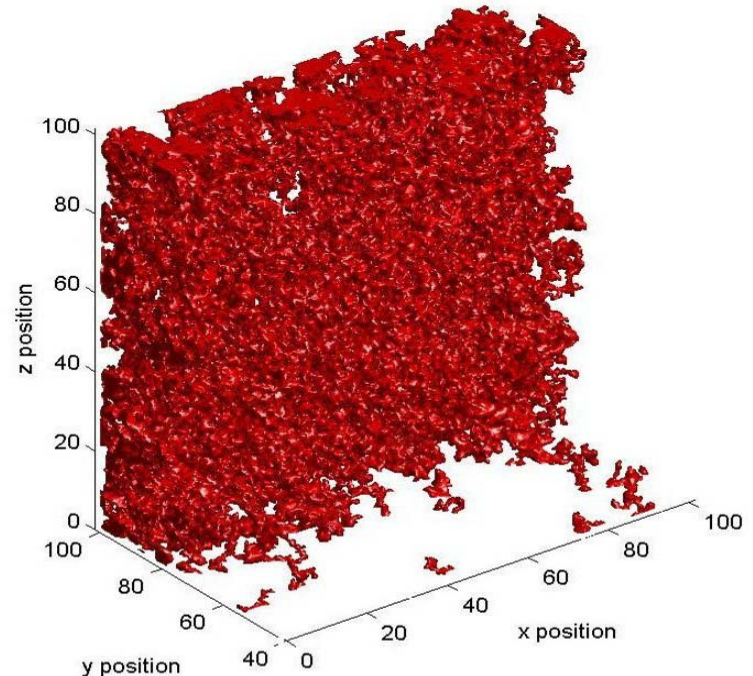
**Step Function Exposure**  
**Roughness = 2.58 nm RMS**

Developed Sidewall



**Low Contrast Exposure**  
**Roughness = 10.74 nm RMS**

Developed Sidewall





# Gerard: Design of Resist Materials



## Imaging mechanisms

### “Traditional”

Chain scission – positive tone, CA unzipping

Cross-linking – negative tone

Polarity switch – usually positive tone

### Non-traditional

Top surface imaging, silylation, graft polymerization

## “Photo-” (for photoresists, different for e-beam resists)

Photochemistry, bleaching

Optical properties, absorbance

## “-Resist”

Dry etch resistance, Onishi parameter, hard masks

## Mechanical stability

Swelling, aspect ratio – CARC

Environmental stability, shelf life, outgassing, contamination

Process window, pre- and post-exposure delay stability

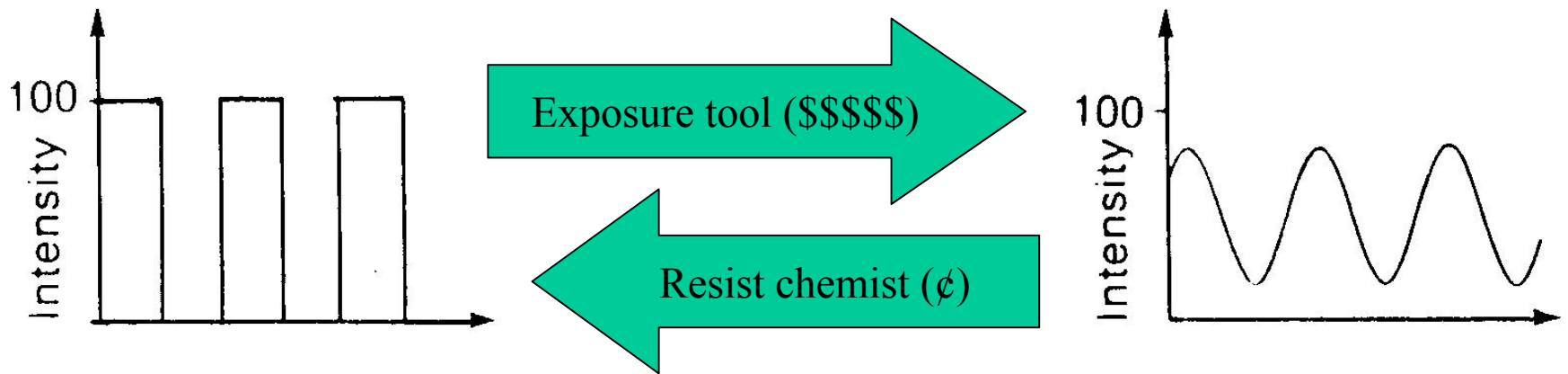
## Manufacturability of resist

Copolymerization vs. additives



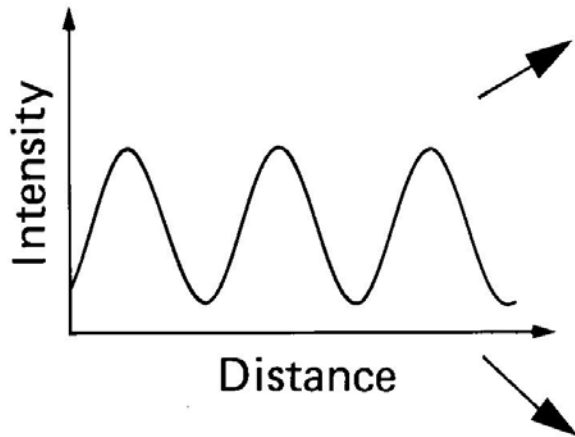


# Importance of resist contrast

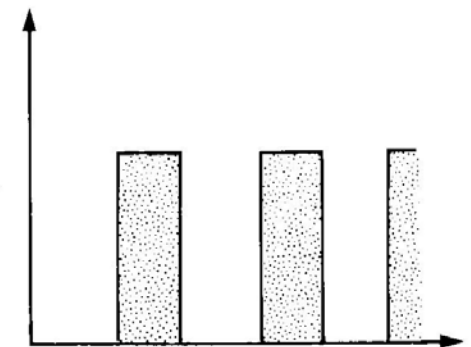
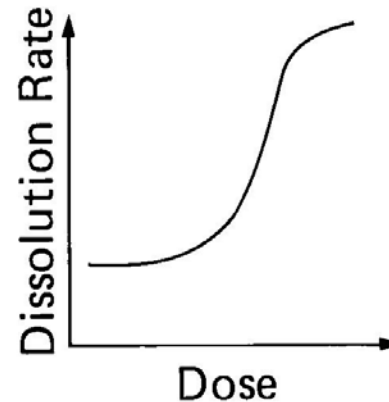
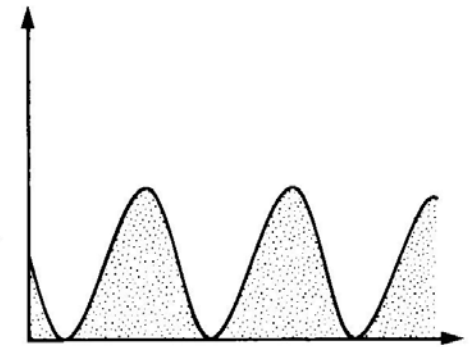
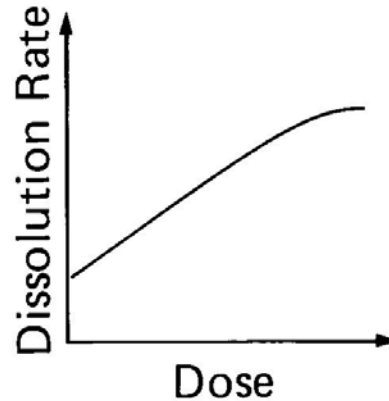




# Importance of resist contrast



Projected Intensity  
Function



Resist Response  
Function

Resist Profile





# Resist contrast mechanisms



## Liquid development

- differences in molecular weight
- differences in polarity and/or chemical reactivity towards developer

## Dry development (RIE)

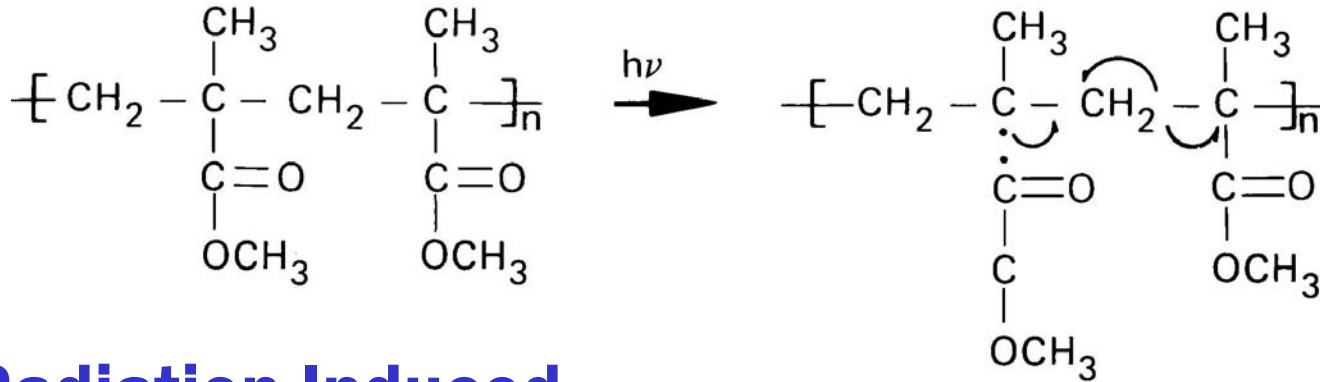
- differences in dry etch rate

*These mechanisms can be used in both positive and negative tone*

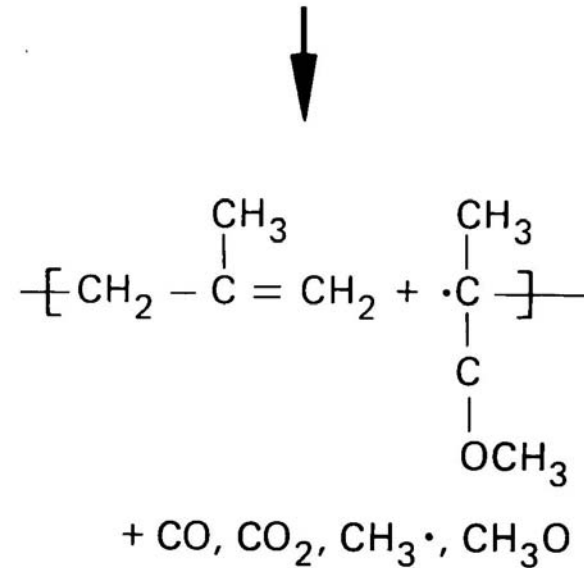




# Positive tone: exposure-induced chain scission



## Radiation Induced Decomposition of Poly(Methylmethacrylate), PMMA



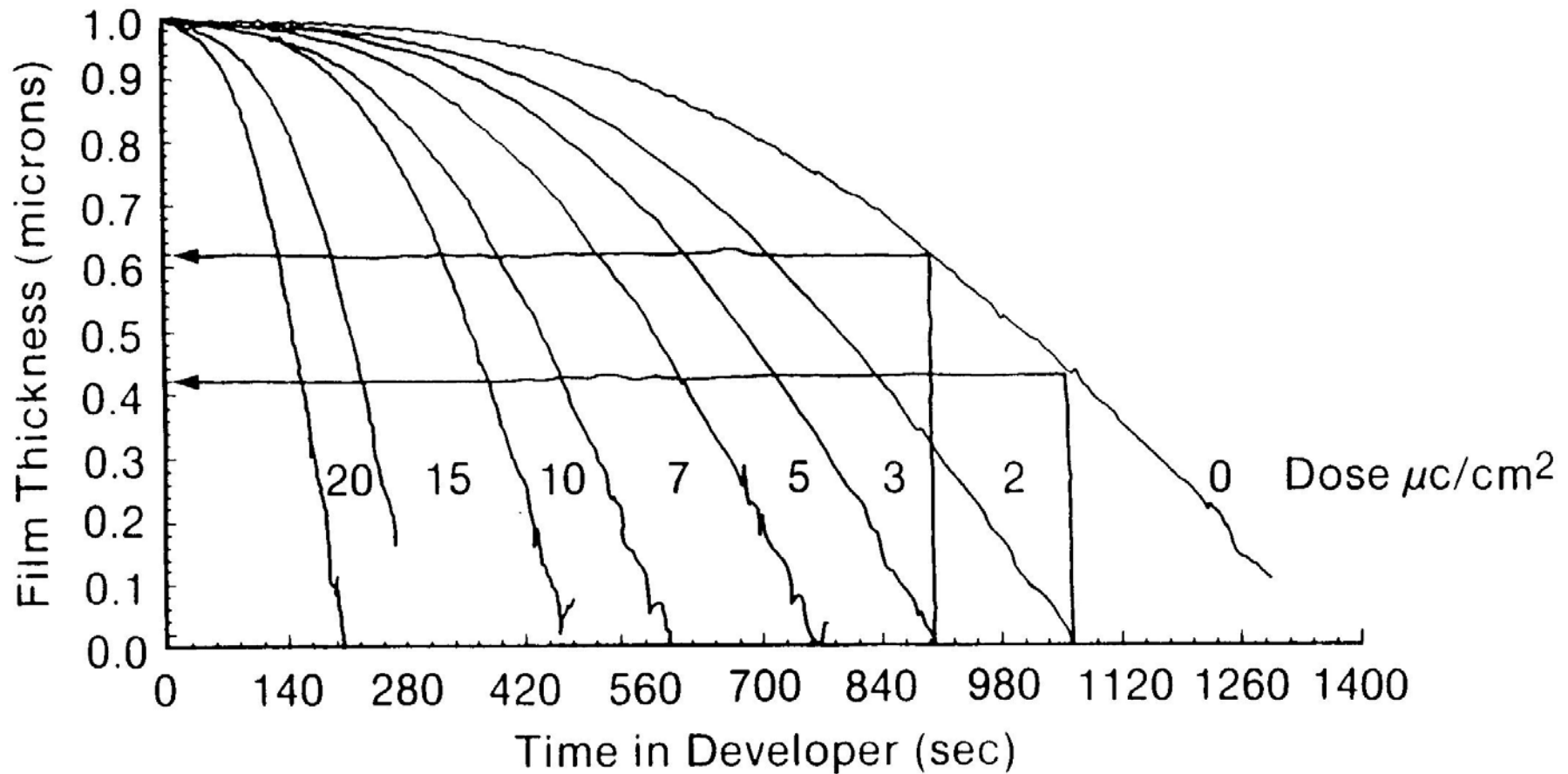


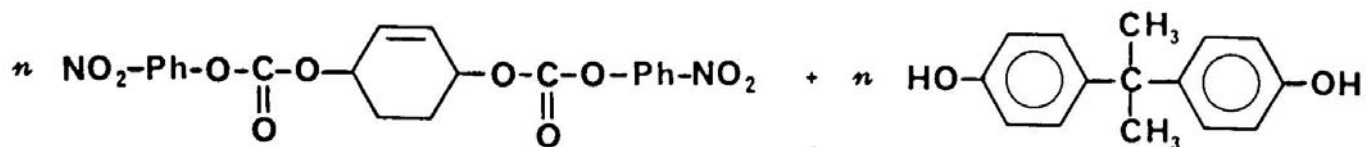


# Chain scission contrast

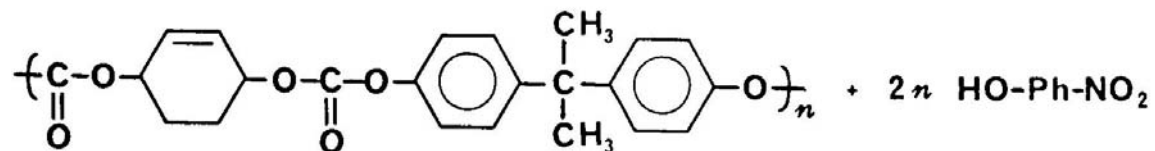


In general, dissolution rate of polymers is inversely proportional to  $\log(\text{MW})$





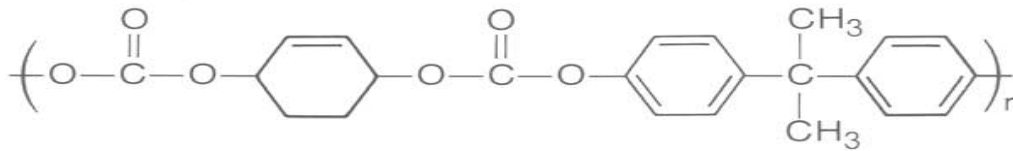
$\text{K}_2\text{CO}_3$  18-Crown-6



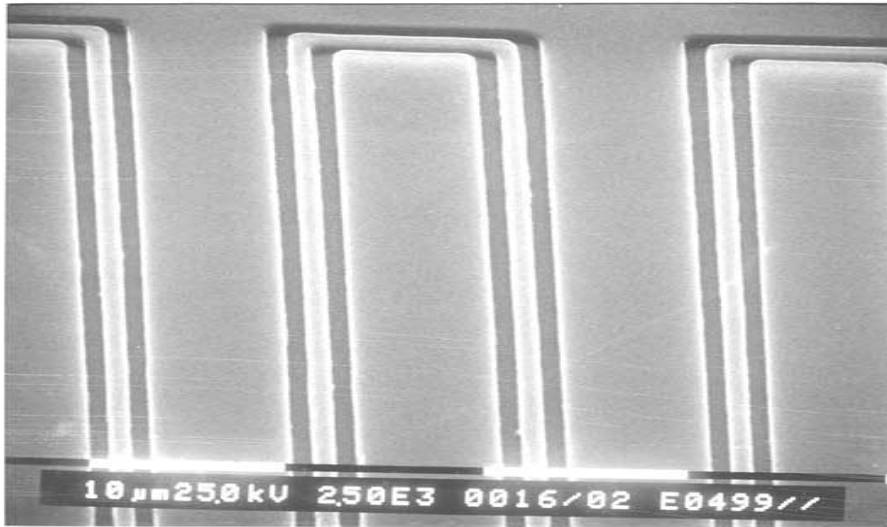
$\Delta$  or  $\text{H}^+$



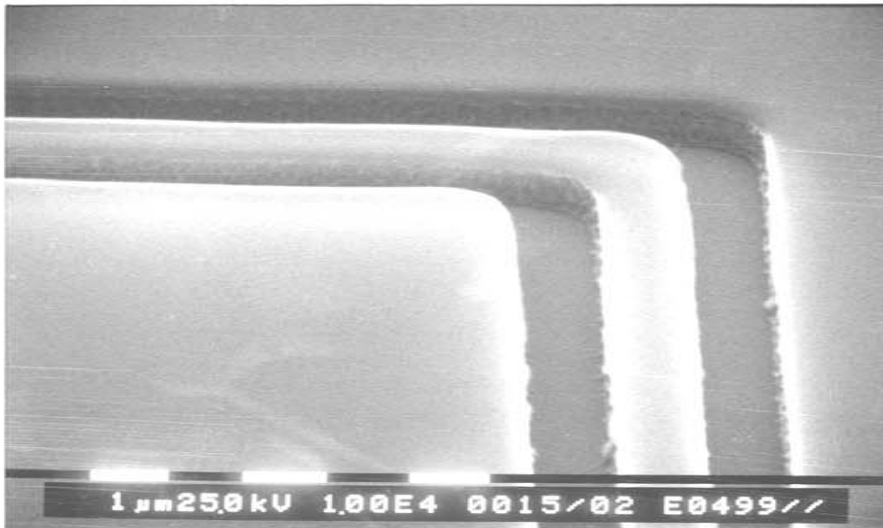
Reaction products  
are volatile



## “Self Development”



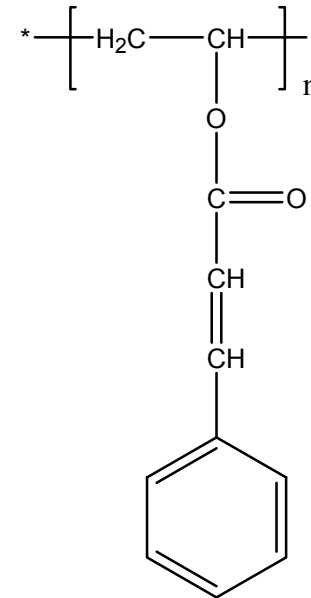
This material has very high throughput because it is  $\text{CA}^2$ , and requires no development step. Why is it not used?





Louis Minsk  
Eastman Kodak

## The First Synthetic Photopolymer



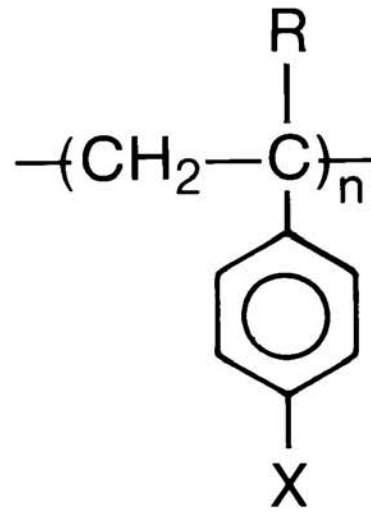
Poly(vinyl cinnamate)



# Single Component Negative Tone



1. Polystyrenes



• Limited Resolution

$\text{R} = \text{H}, \text{CH}_3, \text{Cl}$

$\text{X} = \text{Cl}, -\text{CH}_2\text{Cl}$

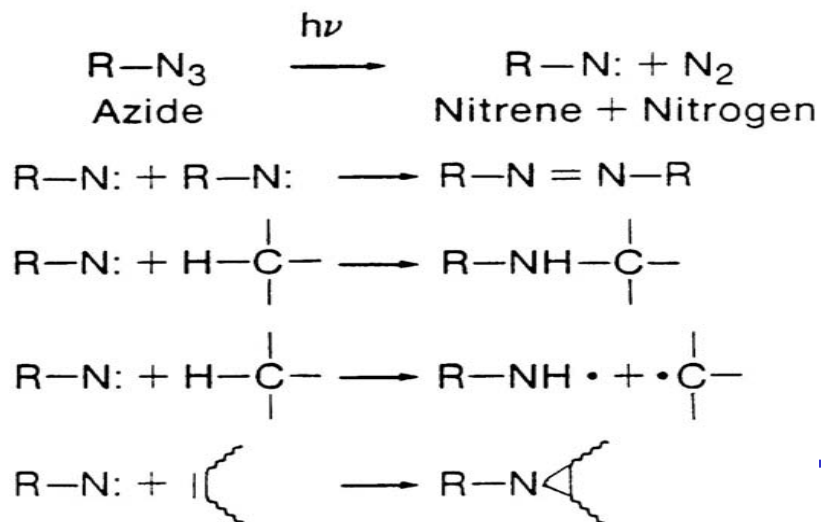
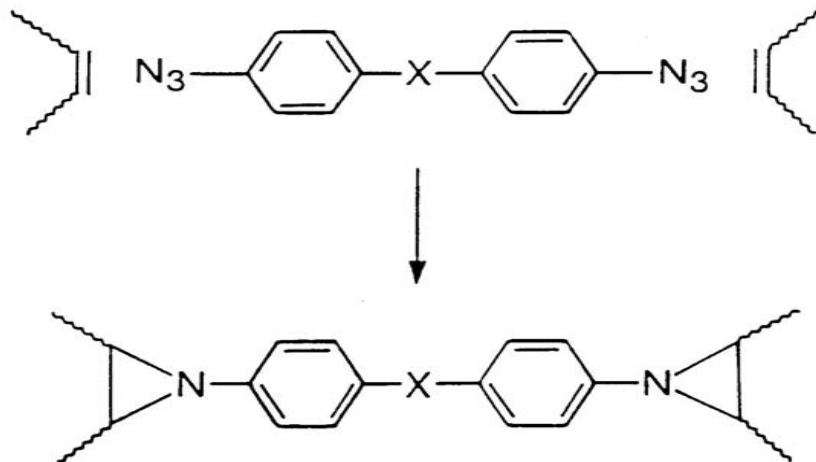




# Two Component Negative Tone

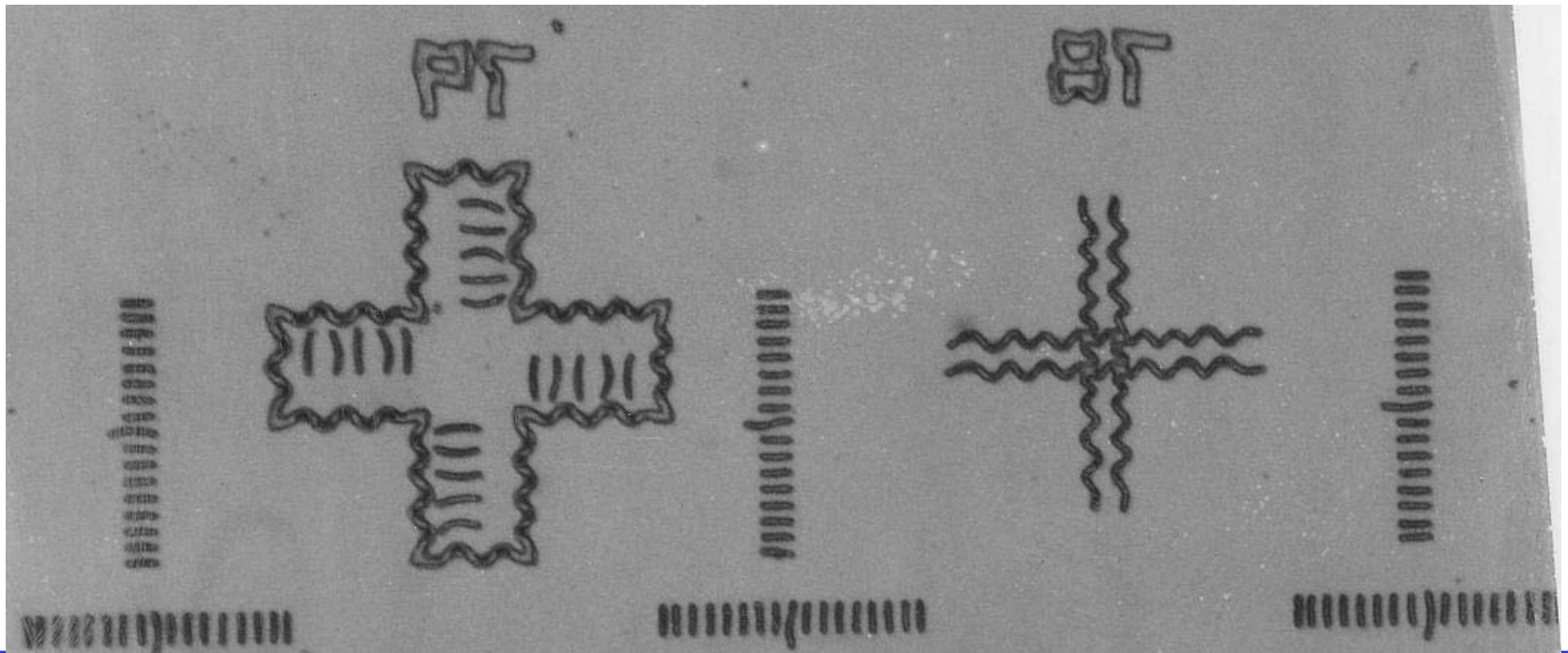
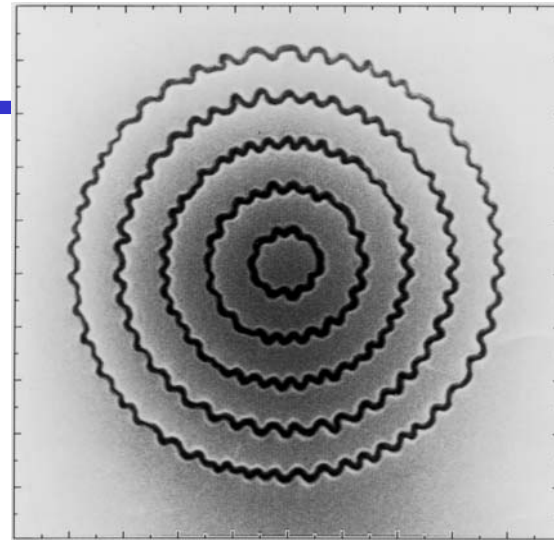


Bis-azide rubber





# Swelling in negative tone materials



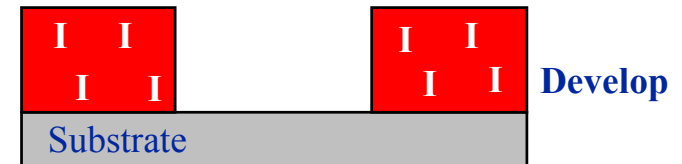
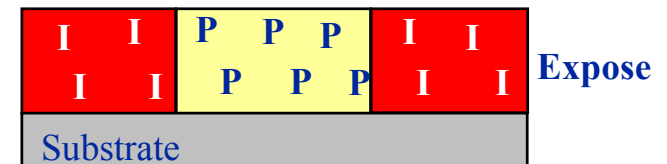
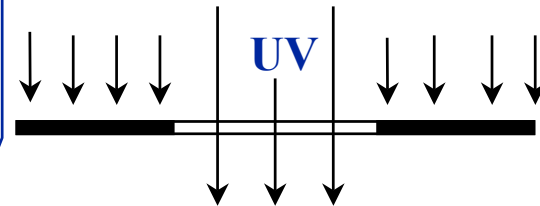
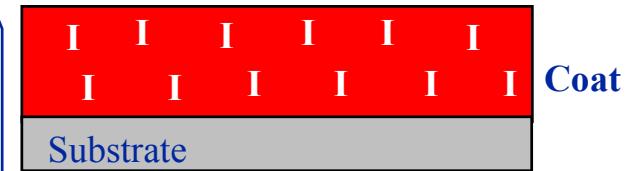
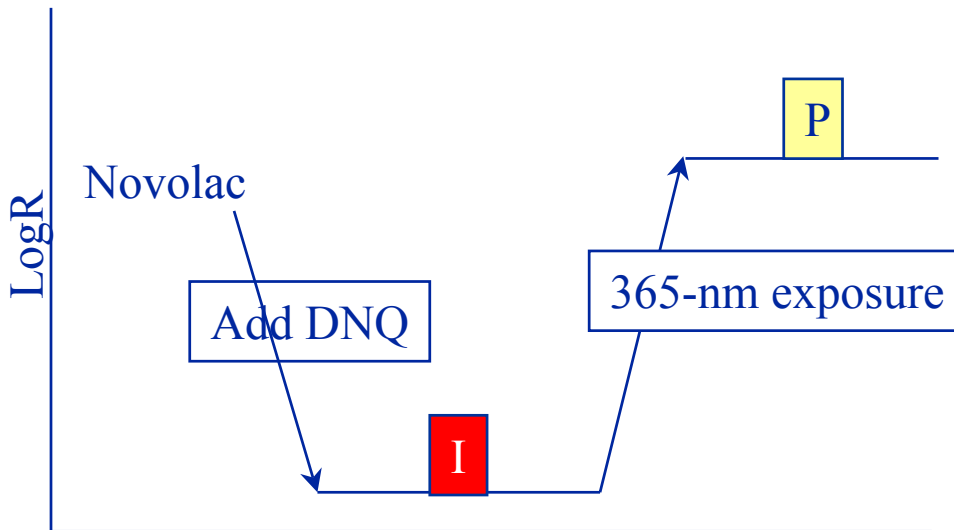
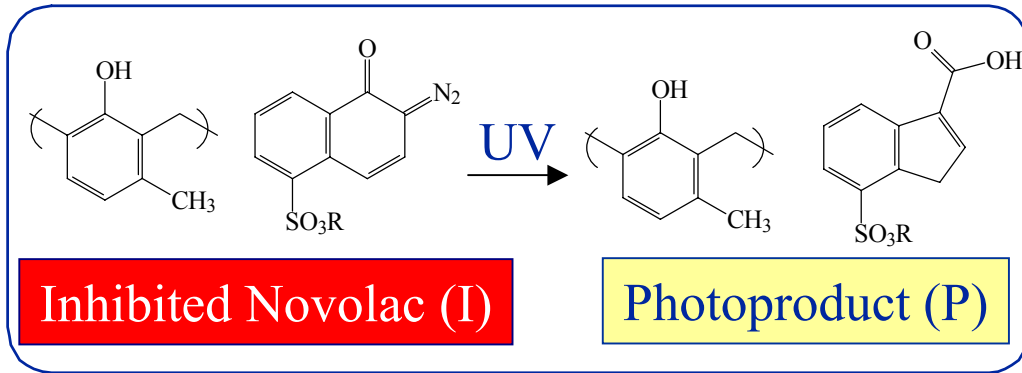




# Polarity switching resists

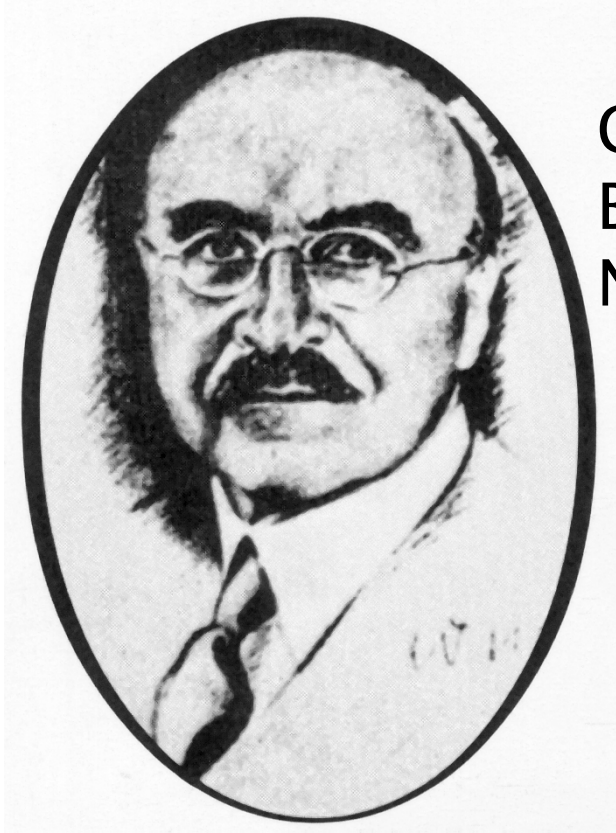


## Novolac/DNQ Photoresists

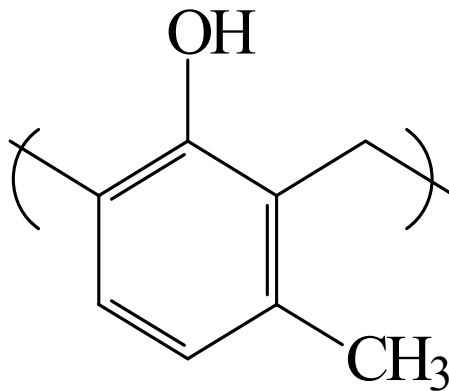




# The History of Novolac



C.H. Meyer and L.H.  
Baekeland Discovered  
Novolac ca. 1900



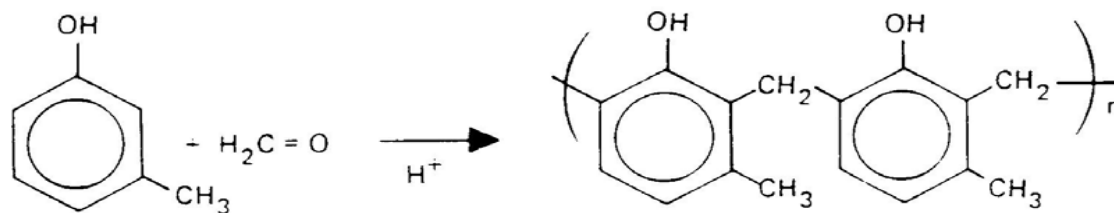
Baekeland

Meyer

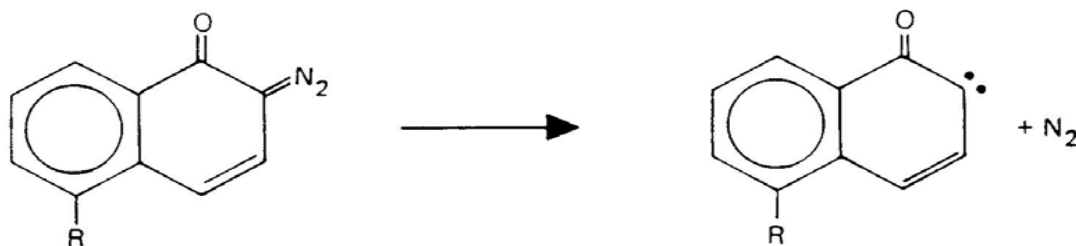




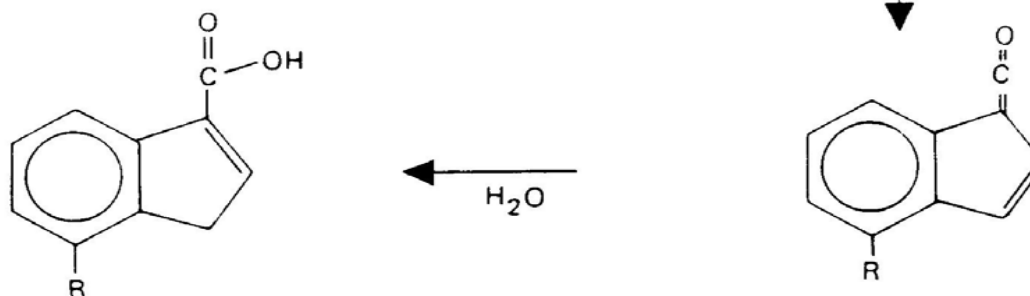
## Diazonaphthoquinone-Novolac Resists



Novolac Resin



Base Insoluble  
Sensitizer



Base Soluble  
Photoproduct

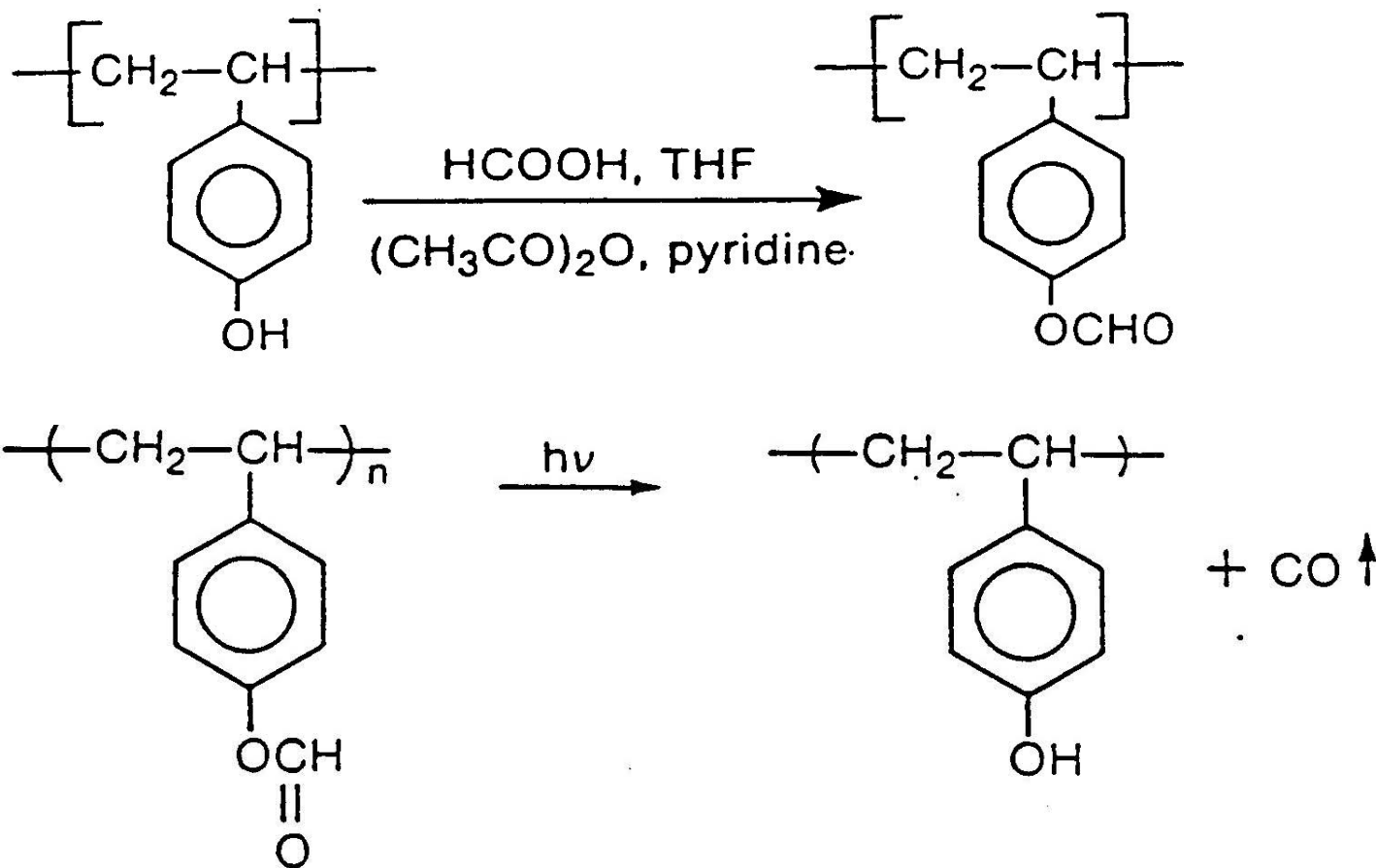




# Polarity switching resists



## Side Chain Deprotection Design





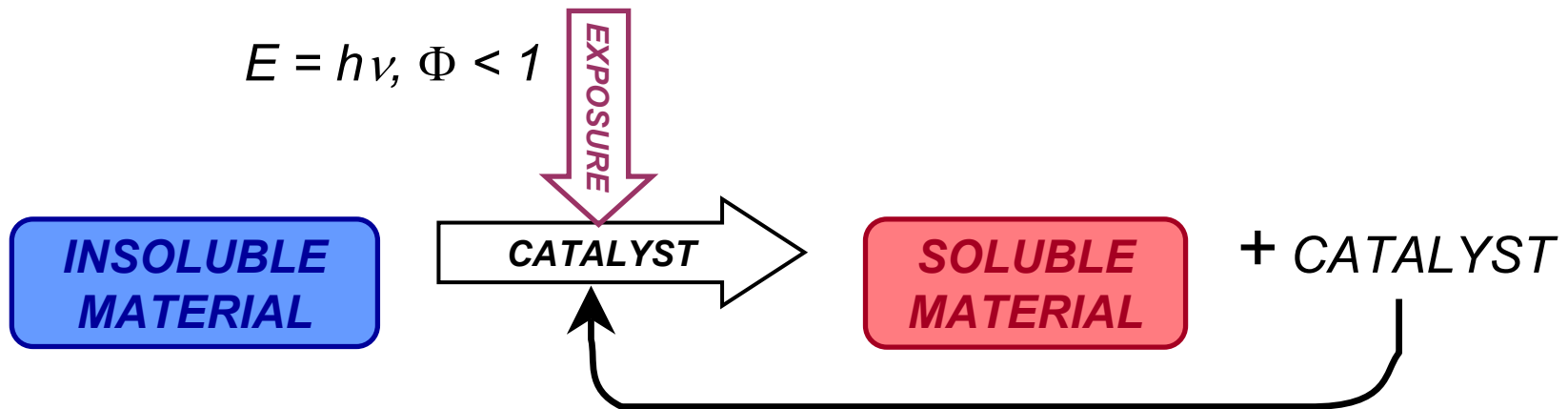
# Chemical amplification



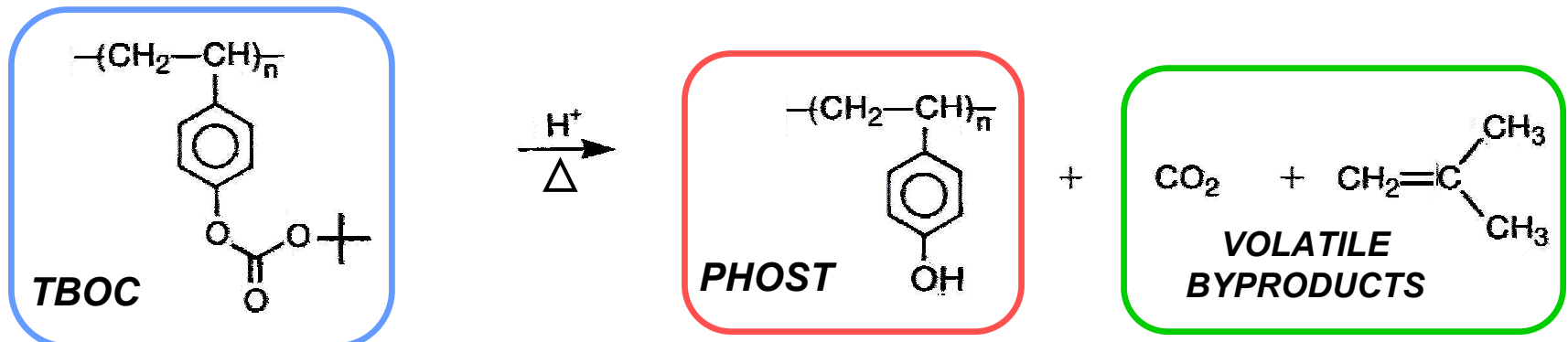
*Hundreds of solubility conversion reactions per absorbed photon.*

General positive-tone function:

$$E = h\nu, \Phi < 1$$



Model system:

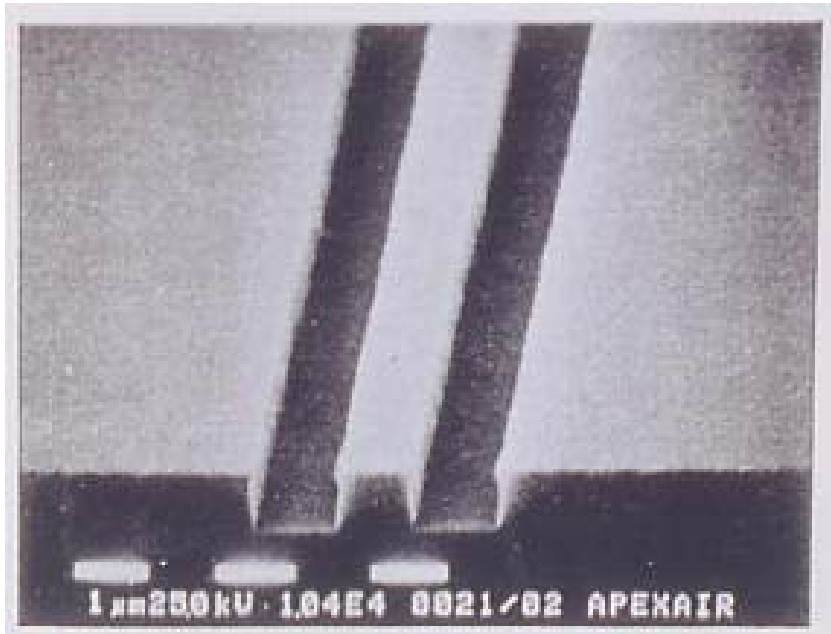




# Chemical contamination in CARs



## “T” tops



15 min in filtered air

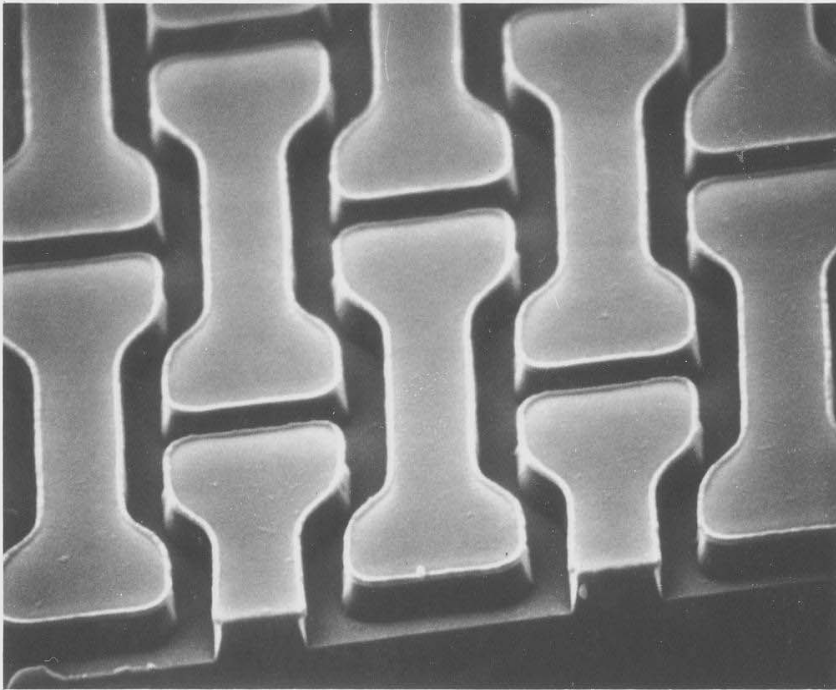


15 min in 10ppb  
NMP before exposure

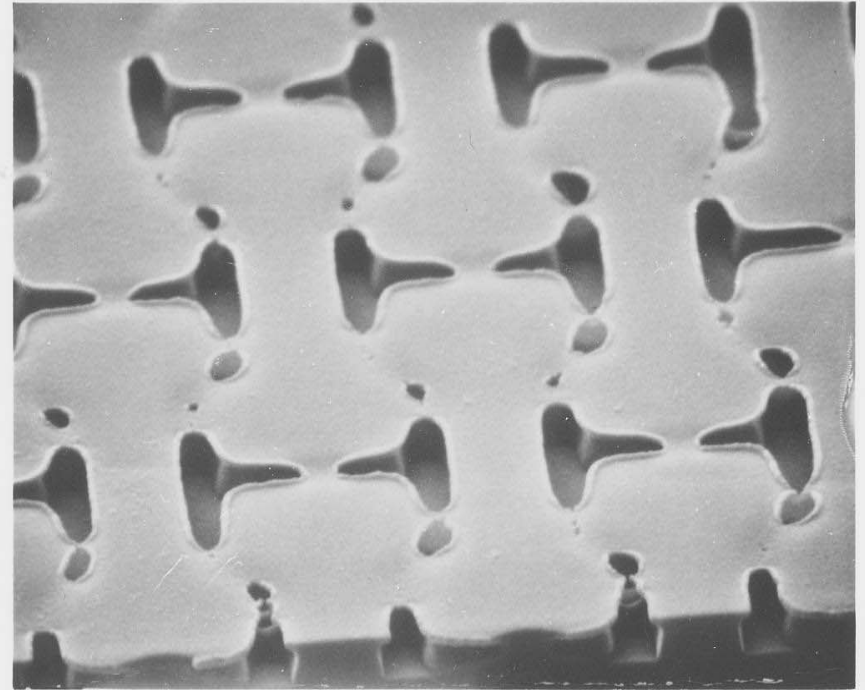




## Positive Tone Image vs. Delay Time



No Delay

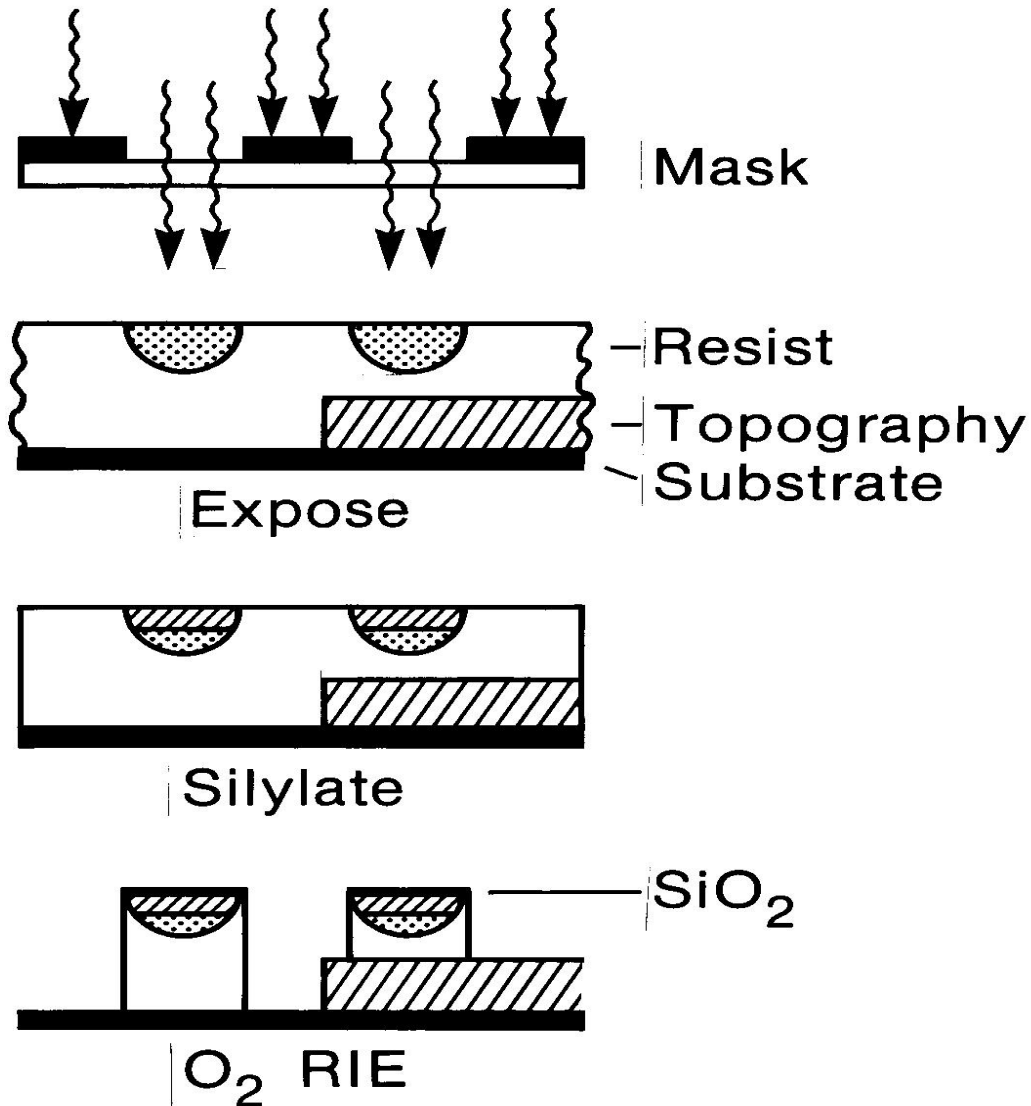


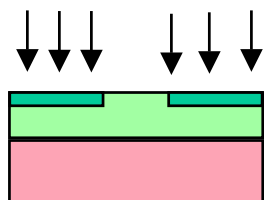
Post Coating Delay



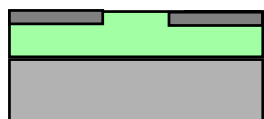


# Dry development of resists





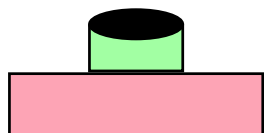
*Expose*



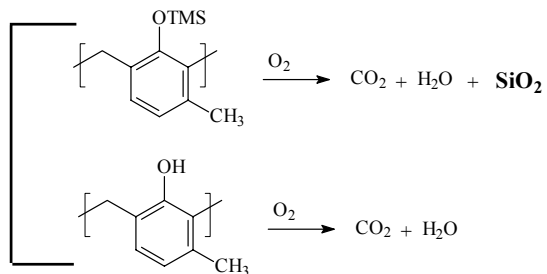
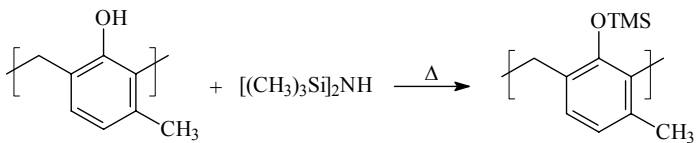
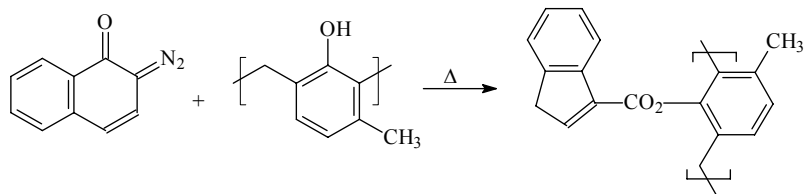
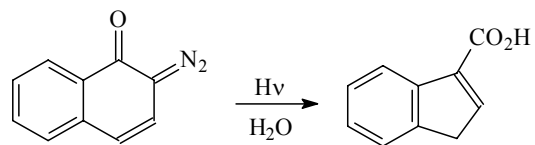
*Bake*



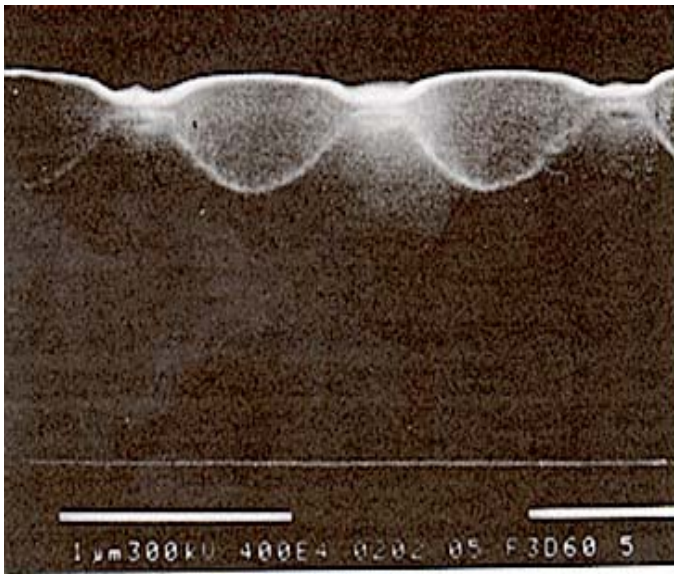
*Silylate*



*Etch*

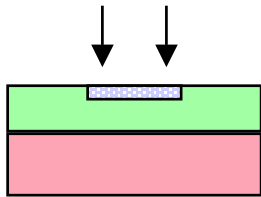


# Analog Silylation Process

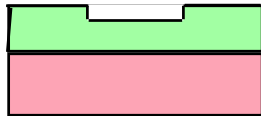
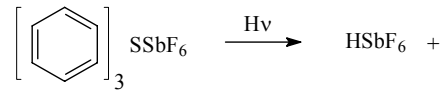




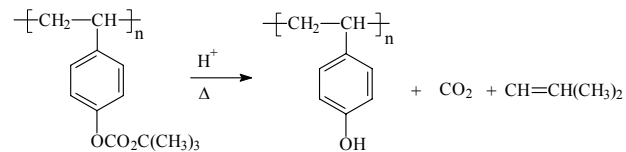
# Zero Volume Change Silylation Process



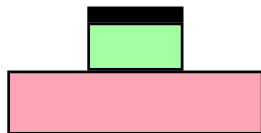
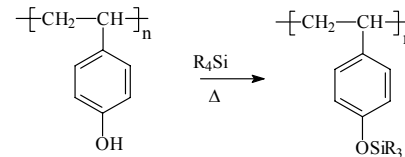
*Expose*



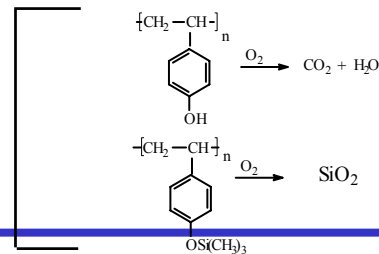
*Bake*



*Silylate*

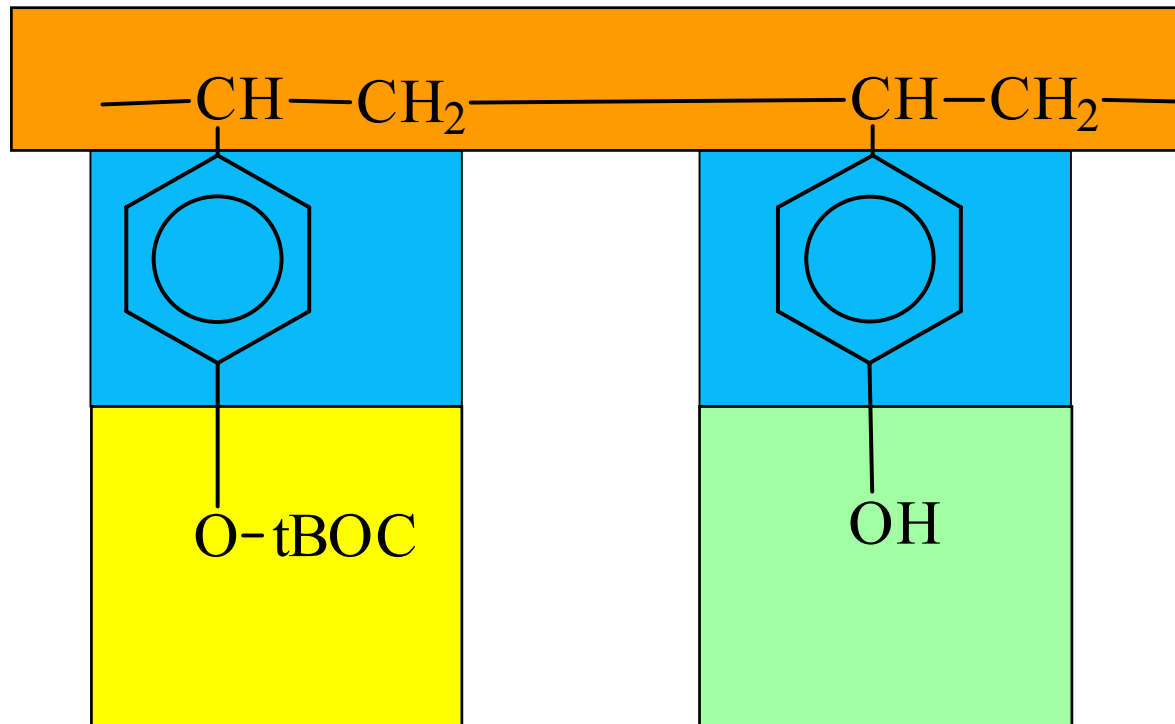


*Etch*





# APEX Resist Design

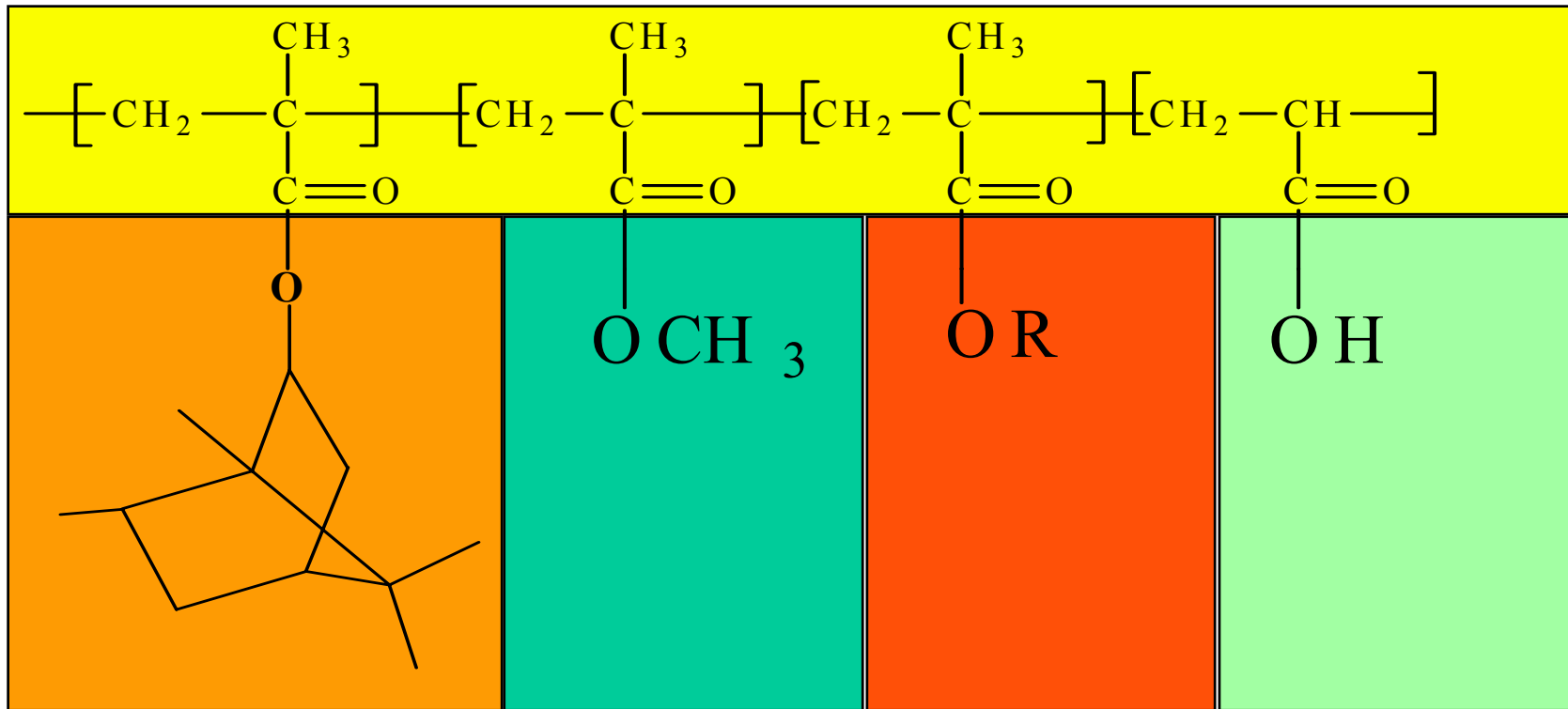


- Tethering Function   ■ Acid Lability   ■ Base Solubility  
■ Etch Resistance





## IBM Version 2 Tetrapolymer



 Tethering Function  Acid Lability  Base Solubility

 Etch Resistance  Mechanical Properties





# Impact of Photoresist Absorbance on Developed Image Profile

